

1	Surface and Interface Physics: Its Definition and Importance	1
	Panel I: Ultrahigh Vacuum (UHV) Technology	6
	Panel II: Basics of Particle Optics and Spectroscopy	17
	Problems	28
2	Preparation of Well-Defined Surfaces, Interfaces and Thin Films .	29
	2.1 Why Is Ultrahigh Vacuum Used?	29
	2.2 Cleavage in UHV	31
	2.3 Ion Bombardment and Annealing	34
	2.4 Evaporation and Molecular Beam Epitaxy (MBE)	35
	2.5 Epitaxy by Means of Chemical Reactions	43
	Panel III: Auger Electron Spectroscopy (AES)	49
	Panel IV: Secondary Ion Mass Spectroscopy (SIMS)	55
	Problems	63
3	Morphology and Structure of Surfaces, Interfaces and Thin Films	65
	3.1 Surface Stress, Surface Energy, and Macroscopic Shape	65
	3.2 Relaxation, Reconstruction, and Defects	71
	3.3 Two-Dimensional Lattices, Superstructure, and Reciprocal Space	76
	3.3.1 Surface Lattices and Superstructures	76
	3.3.2 2D Reciprocal Lattice	79
	3.4 Structural Models of Solid–Solid Interfaces	80
	3.5 Nucleation and Growth of Thin Films	85
	3.5.1 Modes of Film Growth	85
	3.5.2 “Capillary Model” of Nucleation	89
	3.6 Film-Growth Studies: Experimental Methods and Some Results .	92
	Panel V: Scanning Electron Microscopy (SEM) and Microprobe Techniques	105
	Panel VI: Scanning Tunneling Microscopy (STM)	111
	Panel VII: Surface Extended X-Ray Absorption Fine Structure (SEXAFS)	121
	Problems	127

4	Scattering from Surfaces and Thin Films	129
4.1	Kinematic Theory of Surface Scattering	130
4.2	The Kinematic Theory of Low-Energy Electron Diffraction	135
4.3	What Can We Learn from Inspection of a LEED Pattern?	137
4.4	Dynamic LEED Theory, and Structure Analysis	142
4.4.1	Matching Formalism	143
4.4.2	Multiple-Scattering Formalism	146
4.4.3	Structure Analysis	146
4.5	Kinematics of an Inelastic Surface Scattering Experiment	148
4.6	Dielectric Theory of Inelastic Electron Scattering	152
4.6.1	Bulk Scattering	153
4.6.2	Surface Scattering	156
4.7	Dielectric Scattering on a Thin Surface Layer	161
4.8	Some Experimental Examples of Inelastic Scattering of Low-Energy Electrons at Surfaces	167
4.9	The Classical Limit of Particle Scattering	172
4.10	Conservation Laws for Atomic Collisions: Chemical Surface Analysis	176
4.11	Rutherford BackScattering (RBS): Channeling and Blocking	179
	Panel VIII: Low-Energy Electron Diffraction (LEED) and Reflection High-Energy Electron Diffraction (RHEED)	189
	Panel IX: X-Ray Diffraction (XRD) for Thin Film Characterisation	197
	Panel X: Electron Energy Loss Spectroscopy (EELS)	208
	Problems	216
5	Surface Phonons	217
5.1	The Existence of "Surface" Lattice Vibrations on a Linear Chain	218
5.2	Extension to a Three-Dimensional Solid with a Surface	222
5.3	Rayleigh Waves	223
5.4	The Use of Rayleigh Waves as High-Frequency Filters	228
5.5	Surface-Phonon (Plasmon) Polaritons	230
5.6	Dispersion Curves from Experiment and from Realistic Calculations	239
	Panel XI: Atom and Molecular Beam Scattering	245
	Problems	251
6	Electronic Surface States	253
6.1	Surface States for a Semi-Infinite Chain in the Nearly-Free Electron Model	254
6.2	Surface States of a 3D Crystal and Their Charging Character	258
6.2.1	Intrinsic Surface States	258
6.2.2	Extrinsic Surface States	262
6.3	Aspects of Photoemission Theory	263
6.3.1	General Description	263
6.3.2	Angle-Integrated Photoemission	267
6.3.3	Bulk- and Surface-State Emission	269

6.3.4	Symmetry of Initial States and Selection Rules	271
6.3.5	Many-Body Aspects	272
6.4	Some Surface-State Band Structures for Metals	275
6.4.1	<i>s</i> - and <i>p</i> -like Surface States	275
6.4.2	<i>d</i> -like Surface States	278
6.4.3	Empty and Image-Potential Surface States	284
6.5	Surface States on Semiconductors	287
6.5.1	Elemental Semiconductors	289
6.5.2	III–V Compound Semiconductors	297
6.5.3	Group III Nitrides	302
6.5.4	II–VI Compound Semiconductors	306
6.6	Spin-Orbit Coupling in Surface States	309
6.6.1	Spin-Orbit Coupling in a 2-dimensional Electron Gas	309
6.6.2	Spin-Split Surface States on Au and Semimetal Surfaces	314
6.6.3	Surface States on Topological Insulators	316
Panel XII:	Photoemission and Inverse Photoemission	325
Problems	335
7	Space-Charge Layers at Semiconductor Interfaces	337
7.1	Origin and Classification of Space-Charge Layers	337
7.2	The Schottky Depletion Space-Charge Layer	342
7.3	Weak Space-Charge Layers	344
7.4	Space-Charge Layers on Highly Degenerate Semiconductors	346
7.5	The General Case of a Space-Charge Layer and Fermi-level Pinning	348
7.6	Quantized Accumulation and Inversion Layers	351
7.7	Some Particular Interfaces and Their Surface Potentials	356
7.8	The Silicon MOS Field-Effect Transistor	366
7.9	Magnetic Field Induced Quantization	370
7.10	Two-Dimensional Plasmons	373
Panel XIII:	Optical Surface Techniques	376
Problems	391
8	Metal–Semiconductor Junctions and Semiconductor Heterostructures	393
8.1	General Principles Governing the Electronic Structure of Solid–Solid Interfaces	393
8.2	Metal-Induced Gap States (MIGS) at the Metal–Semiconductor Interface	401
8.3	Virtual Induced Gap States (VIGS) at the Semiconductor Heterointerface	410
8.4	Structure- and Chemistry-Dependent Models of Interface States	415
8.5	Some Applications of Metal–Semiconductor Junctions and Semiconductor Heterostructures	422
8.5.1	Schottky Barriers	422
8.5.2	Semiconductor Heterojunctions and Modulation Doping	425

8.5.3	The High Electron Mobility Transistor (HEMT)	430
8.6	Quantum Effects in 2D Electron Gases at Semiconductor Interfaces	432
Panel XIV: Electrical Measurements of Schottky-Barrier Heights and Band Offsets		440
	Problems	447
9	Collective Phenomena at Interfaces: Superconductivity and Ferromagnetism	449
9.1	Superconductivity at Interfaces	450
9.1.1	Some General Remarks	451
9.1.2	Fundamentals of Superconductivity	453
9.1.3	Andreev Reflection	459
9.1.4	A Simple Model for Transport Through a Normal Conductor–Superconductor Interface	462
9.2	Josephson Junctions with Ballistic Transport	468
9.2.1	Josephson Effects	468
9.2.2	Josephson Currents and Andreev Levels	470
9.2.3	Subharmonic Gap Structures	475
9.3	An Experimental Example of a Superconductor–Semiconductor 2DEG–Superconductor Josephson Junction	476
9.3.1	Preparation of the Nb–2DEG–Nb Junction	477
9.3.2	Critical Currents Through the Nb–2DEG–Nb Junction	478
9.3.3	The Current Carrying Regime	480
9.3.4	Supercurrent Control by Non-equilibrium Carriers	481
9.4	Ferromagnetism at Surfaces and within Thin Films	484
9.4.1	The Band Model of Ferromagnetism	484
9.4.2	Ferromagnetism in Reduced Dimensions	487
9.5	Magnetic Quantum Well States	493
9.6	Magnetic Interlayer Coupling	497
9.7	Giant Magnetoresistance and Spin-Transfer Torque Mechanism	499
9.7.1	Giant Magnetoresistance (GMR)	499
9.7.2	Magnetic Anisotropies and Magnetic Domains	503
9.7.3	Spin-Transfer Torque Effect: A Magnetic Switching Device	508
Panel XV: Magneto-Optical Characterization: Kerr Effect		514
Panel XVI: Spin-Polarized Scanning Tunneling Microscopy (SP-STM)		519
	Problems	525
10	Adsorption on Solid Surfaces	527
10.1	Physisorption	527
10.2	Chemisorption	530
10.3	Work-Function Changes Induced by Adsorbates	537
10.4	Two-Dimensional Phase Transitions in Adsorbate Layers	542
10.5	Adsorption Kinetics	549
Panel XVII: Desorption Techniques		556
Panel XVIII: Kelvin-Probe and Photoemission Measurements for the Study of Work-Function Changes and Semiconductor Interfaces		564
	Problems	571

References	573
Chapter 1	573
Chapter 2	573
Chapter 3	574
Chapter 4	576
Chapter 5	577
Chapter 6	577
Chapter 7	580
Chapter 8	581
Chapter 9	582
Chapter 10	583
Index	585