Contents

List of contributors *page* xi
Preface to the second edition xiii
Preface to the first edition xiv
Acknowledgments xv

PART I RESEARCH FOUNDATIONS 1

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

1 Conceptual ambiguities 7

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

1.1 Historical review 7
1.2 What do we mean by "emotion"? 9
1.3 How do we determine whether judgments of emotion are accurate? 11
1.4 What does establishing generality entail? 16
1.5 Can facial behavior be controlled or disguised? 17
1.6 Can two or more emotions be shown simultaneously? 19
1.7 Review 20

2 Methodological decisions 22

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

2.1 Selecting a research design 22
2.2 Choosing the eliciting circumstance 25
2.3 Sampling persons 28
2.4 Sampling behavior from a record 29
2.5 Sampling emotions 31

2.6 Sampling emotion words, categories, or dimensions in judgment studies 32
2.7 Choosing a method of recording 34
2.8 Review 36

3 What emotion categories or dimensions can observers judge from facial behavior? 39

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

3.1 Emotion categories 40
3.2 Emotion dimensions 47

4 Does the face provide accurate information? 56

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

4.1 Can judgments of emotion be accurate? 57
4.2 Can measurement of facial behavior provide accurate information? 87

5 What components of facial behavior are related to observers' judgments of emotion? 98

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

5.1 Judgment as a function of facial area observed 99
5.2 Relationship between observers' judgments and measures of facial components 103
5.3 Summary 110

6 What are the relative contributions of facial behavior and contextual information to the judgment of emotion? 111

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

6.1 Conceptual issues 112
6.2 Review of experiments 117
6.3 Summary 126

7 What are the similarities and differences in facial behavior across cultures? 128

PAUL EKMAN, WALLACE V. FRIESEN, AND PHOEBE ELLSWORTH

7.1 Historical review 128
7.2 Literature review 129
7.3 Summary 141

PART II REVIEW AND PROSPECT 145
PAUL EKMAN, HARRIET OSTER

8 Review of research, 1970-1980 147
PAUL EKMAN AND HARRIET OSTER
8.1 Cross-cultural studies and the issue of universality 147
8.2 Developmental studies 153
8.3 Facial measurement 159
8.4 Accuracy 162
8.5 Facial feedback 169
8.6 Neural control and ANS correlates of facial expression 171

PART III NEW RESEARCH DIRECTIONS 175
PAUL EKMAN

9 Measuring facial movement with the Facial Action Coding System 178
PAUL EKMAN AND WALLACE V. FRIESEN
9.1 Background to the development of the Facial Action Coding System 179
9.2 Development of the Facial Action Coding System 184
9.3 An example of scoring faces 190
9.4 Reliability of the Facial Action Coding System 194
9.5 Validity 207
9.6 Conclusion 211

10 An evolutionary perspective on human facial displays 212
WILLIAM K. REDICAN
10.1 Introduction to primate taxonomy and nomenclature 213
10.2 Homology and analogy 215
10.3 Displays 216
10.4 Natural selection 217

10.5 Facial displays and their social contexts 226
10.6 Concluding remarks 264

11 Measuring the ability to recognize facial expressions of emotion 281
MAUREEN O'SULLIVAN
11.1 Measure selection 282
11.2 Description of measures 283
11.3 Construct validity 290
11.4 Stage one: conceptualization 291
11.5 Stage two: reification 305
11.6 Stage three: elaboration 307
11.7 Reliability 311
11.8 Conclusions and suggestions for future research 314

12 Asymmetries in facial expression 318
JOSEPH C. HAGER
12.1 The sources of facial information (sign vehicles) 319
12.2 Facial expression of character and personality 322
12.3 Facial identity 327
12.4 Facial expression of emotion 331
12.5 Summary 348

13 Affect theory 353
SILVAN S. TOMKINS
13.1 Affects and drives 354
13.2 Innate affect activators 359
13.3 Role of the specific affects 363
13.4 Polarity theory 378
13.5 Major changes in my theory of affect 382

Conclusions 396
PAUL EKMAN

References 398
Name index 424
Subject index 433