

## CONTENTS

|  |              |
|--|--------------|
| <b>Preface</b>   | <b>xvii</b>  |
| <b>Acknowledgments</b>   | <b>xix</b>   |
| <b>List of Contributors</b>  | <b>xxiii</b> |
| <b>1. Digital Terrain Analysis</b>   | <b>1</b>     |
| <i>by John P. Wilson and John C. Gallant</i>   |              |
| 1.1 Principles and Applications  | 1            |
| 1.1.1 Digital Elevation Data Sources and Structures  | 3            |
| 1.1.2 Calculation and Use of Topographic Attributes in Hydrological, Geomorphological, and Biological Applications | 5            |
| 1.1.3 Identification and Treatment of Error and Uncertainty  | 15           |
| 1.2 The Purpose of This Book   | 20           |
| 1.3 Overview   | 22           |
| 1.3.1 Digital Terrain Analysis Methods   | 22           |
| 1.3.2 Hydrological Applications  | 24           |
| 1.3.3 Geomorphological Applications  | 25           |
| 1.3.4 Biological Applications  | 26           |
| <b>2. Digital Elevation Models and Representation of Terrain Shape</b>   | <b>29</b>    |
| <i>by Michael F. Hutchinson and John C. Gallant</i>  |              |
| 2.1 Introduction   | 29           |
| 2.2 Sources of Topographic Data  | 32           |
| 2.2.1 Surface-Specific Point Elevation Data  | 32           |
| 2.2.2 Contour and Stream-Line Data   | 32           |
| 2.2.3 Remotely Sensed Elevation Data   | 33           |
| 2.2.4 Scales of Source Topographic Data  | 34           |
| 2.3 DEM Interpolation Methods  | 34           |
| 2.3.1 Triangulation  | 35           |
| 2.3.2 Local Surface Patches  | 35           |
| 2.3.3 Locally Adaptive Gridding  | 36           |
| 2.4 Filtering of Remotely Sensed Gridded DEMs  | 37           |

|           |   |           |
|-----------|---|-----------|
| 2.5       | Quality Assessment of DEMs  | 38        |
| 2.5.1     | Spurious Sinks and Drainage Analysis                                  | 38        |
| 2.5.2     | Views of Shaded Relief and Other Terrain Attributes                   | 39        |
| 2.5.3     | Derived Elevation Contours  | 39        |
| 2.5.4     | Frequency Histograms of Primary Terrain Attributes                    | 39        |
| 2.6       | Optimization of DEM Resolution  | 39        |
| 2.7       | Interpolation of the Cottonwood DEM Using ANUDEM                      | 41        |
| 2.7.1     | Specification of ANUDEM Options                                       | 43        |
| 2.7.2     | Elevation Units and Vertical Precision                                | 43        |
| 2.8       | Assessment of Resolution and Quality of the Cottonwood DEM            | 44        |
| 2.8.1     | Optimization of Resolution Using the Root Mean Square Slope Criterion | 44        |
| 2.8.2     | Comparison of Data Contours With Derived Contours                     | 45        |
| 2.8.3     | Views of Slope and Profile Curvature                                  | 45        |
| 2.8.4     | Histograms of Elevation and Aspect                                    | 46        |
| 2.8.5     | Summary Recommendation  | 49        |
| 2.9       | Conclusions   | 49        |
| <b>3.</b> | <b>Primary Topographic Attributes</b>                                 | <b>51</b> |
|           | <i>by John C. Gallant and John P. Wilson</i>                          |           |
| 3.1       | TAPES-G: Terrain Analysis on Gridded DEMs                             | 51        |
| 3.1.1     | Surface Derivatives   | 51        |
| 3.1.2     | Slope   | 53        |
| 3.1.3     | Aspect and Primary Flow Direction                                     | 54        |
| 3.1.4     | Curvature   | 56        |
| 3.1.5     | Upslope Contributing Area and Specific Catchment Area                 | 58        |
| 3.1.6     | Flow Width  | 69        |
| 3.1.7     | Maximum Flow-Path Length  | 70        |
| 3.1.8     | Downslope Attributes  | 70        |
| 3.1.9     | Upslope Averages of Terrain Attributes                                | 71        |
| 3.1.10    | Other Terrain Attributes  | 71        |
| 3.1.11    | Inputs  | 71        |
| 3.1.12    | Outputs   | 72        |
| 3.2       | Elevation Residual Analysis   | 73        |
| 3.2.1     | Mean Elevation  | 74        |
| 3.2.2     | Difference From Mean Elevation  | 74        |
| 3.2.3     | Standard Deviation of Elevation                                       | 74        |
| 3.2.4     | Elevation Range   | 75        |
| 3.2.5     | Deviation From Mean Elevation   | 75        |
| 3.2.6     | Percentile  | 75        |
| 3.2.7     | Other Attributes  | 75        |
| 3.2.8     | ELEVRESIDGRID Examples  | 76        |

|           |   |            |
|-----------|---|------------|
| 3.3       | TAPES-C: Terrain Analysis on Contour DEMs   | 77         |
| 3.3.1     | Element Construction  | 79         |
| 3.3.2     | Computed Terrain Attributes   | 82         |
| 3.3.3     | Differences Between TAPES-C and TOPOG Element Networks  | 83         |
| 3.3.4     | Inputs  | 84         |
| 3.3.5     | Outputs   | 85         |
| 3.4       | Conclusions   | 85         |
| <b>4.</b> | <b>Secondary Topographic Attributes</b>   | <b>87</b>  |
|           | <i>by John P. Wilson and John C. Gallant</i>  |            |
| 4.1       | Introduction  | 87         |
| 4.2       | EROS  | 88         |
| 4.2.1     | Estimation Methods  | 88         |
| 4.2.2     | Inputs  | 90         |
| 4.2.3     | Outputs   | 91         |
| 4.3       | SRAD  | 91         |
| 4.3.1     | Estimation Methods  | 91         |
| 4.3.2     | Inputs  | 100        |
| 4.3.3     | Outputs   | 106        |
| 4.4       | WET   | 106        |
| 4.4.1     | Estimation Methods  | 107        |
| 4.4.2     | Inputs  | 110        |
| 4.4.3     | Outputs   | 113        |
| 4.5       | DYNWET-G  | 113        |
| 4.5.1     | Estimation Methods  | 115        |
| 4.5.2     | Inputs  | 117        |
| 4.5.3     | Outputs   | 118        |
| 4.6       | Sample Application  | 118        |
| 4.7       | Conclusions   | 131        |
| <b>5.</b> | <b>Effect of Data Source, Grid Resolution, and Flow-Routing Method on Computed Topographic Attributes</b> | <b>133</b> |
|           | <i>by John P. Wilson, Philip L. Repetto, and Robert D. Snyder</i>   |            |
| 5.1       | Introduction  | 133        |
| 5.2       | Squaw Creek, Montana Sensitivity Analysis   | 135        |
| 5.2.1     | Methods and Data Sources  | 135        |
| 5.2.2     | Results and Discussion  | 137        |
| 5.3       | Idaho Farm Field Model Validation Field Experiment  | 150        |
| 5.3.1     | Methods and Data Sources  | 152        |
| 5.3.2     | Results and Discussion  | 155        |
| 5.4       | Conclusions   | 160        |

|   |            |
|---|------------|
| <b>6. Spatial Analysis of Soil-Moisture Deficit and Potential Soil Loss in the Elbe River Basin</b> | <b>163</b> |
| <i>by Valentina Krysanova, Dirk-Ingmar Müller-Wohlfeil, Wolfgang Cramer, and Alfred Becker</i>      |            |
| 6.1 Introduction  | 163        |
| 6.1.1 Background  | 163        |
| 6.1.2 Study Objectives and Approach   | 165        |
| 6.1.3 The Study Region  | 166        |
| 6.2 Freshwater Availability   | 166        |
| 6.2.1 Method  | 166        |
| 6.2.2 Large-Scale Applications of Topography-Based Models   | 168        |
| 6.2.3 Application in the Elbe Basin   | 170        |
| 6.2.4 Results and Comparisons With Previous Studies   | 172        |
| 6.3 Erosion   | 177        |
| 6.3.1 GIS-Based Approaches for the Analysis of Pollutant Yield in Large Basins                      | 177        |
| 6.3.2 Methods and Results   | 178        |
| 6.4 Conclusions   | 178        |
| <b>7. Mapping Contributing Areas for Stormwater Discharge to Streams Using Terrain Analysis</b>     | <b>183</b> |
| <i>by Jeremy S. Fried, Daniel G. Brown, Mark O. Zweifler, and Michael A. Gold</i>                   |            |
| 7.1 Introduction  | 183        |
| 7.1.1 Implications of Sediment for Water Quality  | 185        |
| 7.1.2 Sediment Management With Riparian Buffer Strips   | 185        |
| 7.1.3 Hydrological Principles   | 185        |
| 7.2 Description of Study Area   | 188        |
| 7.3 Methods   | 188        |
| 7.3.1 GIS Database and Terrain Model Creation   | 188        |
| 7.3.2 Generation of Terrain-Analysis Indices  | 190        |
| 7.3.3 Formulation of Investigative Buffer Model   | 191        |
| 7.3.4 Collection of Validation Data   | 192        |
| 7.4 Results   | 193        |
| 7.4.1 Comparison of Indices   | 194        |
| 7.4.2 Comparison of Variable-Width Investigative Buffers  | 196        |
| 7.4.3 Concordance With Validation Data Set  | 198        |
| 7.5 Discussion  | 200        |
| 7.6 Conclusions   | 203        |
| <b>8. Soil-Moisture Modeling in Humid Mountainous Landscapes</b>                                    | <b>205</b> |
| <i>by J. Alan Yeakley, George M. Hornberger, Wayne T. Swank, Paul V. Bolstad, and James M. Vose</i> |            |
| 8.1 Introduction  | 205        |
| 8.2 Study Site  | 206        |

|         |                                  |     |
|---------|----------------------------------|-----|
| 8.3     | Modeling Approach                | 207 |
| 8.3.1   | Terrain Analysis                 | 207 |
| 8.3.2   | Canopy Interception Modeling     | 210 |
| 8.3.3   | Hillslope Hydrology Model        | 210 |
| 8.4     | Parameterization and Calibration | 212 |
| 8.4.1   | Structural Parameters            | 212 |
| 8.4.2   | Above-Ground Parameters          | 213 |
| 8.4.3   | Below-Ground Parameters          | 215 |
| 8.4.4   | Storm-Scale Calibration          | 216 |
| 8.5     | Validation                       | 217 |
| 8.5.1   | Storm Scale                      | 217 |
| 8.5.1.2 | Soil-Moisture Response           | 218 |
| 8.5.2   | Seasonal Scale                   | 219 |
| 8.5.3   | Model Comparisons                | 220 |
| 8.6     | Discussion                       | 221 |
| 8.7     | Conclusions                      | 223 |

## **9. Stochastic Analysis of a Coupled Surface/Subsurface Hydrologic Model** **225**

*by Gregory M. Pohll and John J. Warwick*

|       |  |     |
|-------|--|-----|
| 9.1   | Introduction   | 225 |
| 9.1.1 | Statement of the Problem   | 225 |
| 9.1.2 | Review of Existing Coupled Modeling Approaches                                     | 226 |
| 9.1.3 | Stochastic Model   | 227 |
| 9.1.4 | Study Site   | 227 |
| 9.2   | Description of Numerical Models  | 229 |
| 9.2.1 | Vadose Zone Model  | 229 |
| 9.2.2 | Coupled Surface/Subsurface Model   | 231 |
| 9.2.3 | Stochastic Model   | 235 |
| 9.3   | Simulation Results   | 237 |
| 9.3.1 | Coupled Model Calibration  | 237 |
| 9.3.2 | Model Comparison (Vadose Zone Versus Coupled Model)                                | 238 |
| 9.3.3 | Long-Term Simulation Comparison of Stochastic Structures and the Vadose Zone Model | 240 |
| 9.4   | Discussion and Conclusions   | 241 |
| 9.4.1 | Coupled Surface/Subsurface Model   | 241 |
| 9.4.2 | Coupled Surface/Subsurface Model Versus Vadose Zone Model                          | 243 |
| 9.4.3 | Stochastic Model   | 244 |

## **10. The Role of Terrain Analysis in Soil Mapping** **245**

*by Neil J. McKenzie, Paul E. Gessler, Philip J. Ryan, and Deborah O'Connell*

|      |                                   |     |
|------|-----------------------------------|-----|
| 10.1 | The Potential of Terrain Analysis | 245 |
|------|-----------------------------------|-----|

|            |  |            |
|------------|--|------------|
| 10.2       | Theories of Pedogenesis and Modeling for Prediction                                | 246        |
| 10.2.1     | The Functional Factorial Approach  | 246        |
| 10.2.2     | Contemporary Views   | 247        |
| 10.2.3     | Environmental Change in Ancient Landscapes   | 248        |
| 10.2.4     | The Role of Landform   | 250        |
| 10.3       | Examples of the Use of Terrain Analysis in Australian Soil Survey Research         | 251        |
| 10.3.1     | Improved Environmental Information   | 254        |
| 10.3.2     | Explicit Survey Design   | 256        |
| 10.3.3     | Quantitative Spatial Prediction  | 259        |
| 10.4       | Factors Affecting the Utility of Terrain Analysis                                  | 263        |
| 10.4.1     | Landscape Complexity   | 263        |
| 10.4.2     | Issues of Scale  | 263        |
| 10.4.3     | Technology   | 264        |
| 10.4.4     | Quantitative Versus Intuitive Mental Models  | 265        |
| 10.5       | Conclusions  | 265        |
| <b>11.</b> | <b>Automated Landform Classification Methods for Soil-Landscape Studies</b>        | <b>267</b> |
|            | <i>by Stephen J. Ventura and Barbara J. Irvin</i>                                  |            |
| 11.1       | Introduction   | 267        |
| 11.2       | Role of Landform Classification in Modern Soil Survey and Soil-Landscape Studies   | 268        |
| 11.3       | Pleasant Valley Study  | 274        |
| 11.4       | Methods  | 275        |
| 11.4.1     | Calculation of Topographic Attributes From a DEM                                   | 277        |
| 11.4.2     | ISODATA Unsupervised Classification  | 281        |
| 11.4.3     | Continuous Classification Overview   | 282        |
| 11.5       | Results and Discussion   | 286        |
| 11.6       | Conclusions  | 290        |
| <b>12.</b> | <b>A Soil-Terrain Model for Estimating Spatial Patterns of Soil Organic Carbon</b> | <b>295</b> |
|            | <i>by Jay C. Bell, David F. Grigal, and Peter C. Bates</i>                         |            |
| 12.1       | Introduction   | 295        |
| 12.2       | Methods  | 297        |
| 12.2.1     | Study Site   | 297        |
| 12.2.2     | Field Sampling   | 298        |
| 12.2.3     | Laboratory Methods   | 299        |
| 12.2.4     | Spatial Data   | 299        |
| 12.2.5     | Spatial Modeling   | 301        |
| 12.3       | Results and Discussion   | 302        |
| 12.3.1     | Mineral Soils  | 303        |

|            |   |            |
|------------|---|------------|
| 12.3.2     | Peatlands   | 304        |
| 12.3.3     | Estimating Spatial Patterns of SOC  | 305        |
| 12.4       | Conclusions   | 309        |
| <b>13.</b> | <b>Shallow Landslide Delineation for Steep Forest Watersheds Based on Topographic Attributes and Probability Analysis</b> | <b>311</b> |
|            | <i>by Jinfan Duan and Gordon E. Grant</i>   |            |
| 13.1       | Introduction  | 311        |
| 13.2       | Study Area Description  | 313        |
| 13.3       | Methods and Data Sources  | 315        |
| 13.3.1     | Infinite Slope Model  | 316        |
| 13.3.2     | Parameter Estimation From Probability Analysis  | 317        |
| 13.3.3     | Effect of Vegetation  | 319        |
| 13.3.4     | Terrain Analysis and Climate Data Acquisition   | 320        |
| 13.3.5     | Monte Carlo Simulation and Probability Derivation   | 323        |
| 13.4       | Results and Discussion  | 324        |
| 13.5       | Implications for Management and Future Modeling   | 328        |
| 13.6       | Conclusions   | 329        |
| <b>14</b>  | <b>Terrain Variables Used for Predictive Mapping of Vegetation Communities in Southern California</b>                     | <b>331</b> |
|            | <i>by Janet Franklin, Paul McCullough, and Curtis Gray</i>  |            |
| 14.1       | Introduction  | 331        |
| 14.1.1     | Species Distributions and Environmental Gradients   | 332        |
| 14.1.2     | Ecological Field Data in Geographical Databases   | 332        |
| 14.1.3     | Error in Digital Elevation Models   | 333        |
| 14.1.4     | Modeling Methods  | 333        |
| 14.2       | Case Studies  | 334        |
| 14.2.1     | Study Area  | 335        |
| 14.2.2     | Materials and Methods   | 335        |
| 14.2.3     | Results   | 346        |
| 14.2.4     | Discussion  | 347        |
| 14.3       | Conclusions   | 352        |
| <b>15.</b> | <b>Automated Land Cover Mapping Using Landsat Thematic Mapper Images and Topographic Attributes</b>                       | <b>355</b> |
|            | <i>by Jonathan M. Wheatley, John P. Wilson, Roland L. Redmond, Zhenkui Ma, and Jeff DiBenedetto</i>                       |            |
| 15.1       | Introduction  | 355        |
| 15.2       | Description of Study Area   | 358        |
| 15.3       | Methods and Data Sources  | 362        |
| 15.3.1     | Landsat TM Image Classification   | 362        |
| 15.3.2     | Digital Elevation Models  | 363        |

|            |  |            |
|------------|--|------------|
| 15.3.3     | Terrain Analysis   | 364        |
| 15.3.4     | Ground-Truth Data  | 366        |
| 15.3.5     | Performance Evaluation   | 366        |
| 15.4       | Results  | 367        |
| 15.4.1     | Terrain Attribute Maps   | 367        |
| 15.4.2     | Land Cover Classification Without Topographic<br>Attributes  | 370        |
| 15.4.3     | Adding Topographic Attributes  | 377        |
| 15.4.4     | Use of Stream Buffers to Delineate Riparian and<br>Upland Cover Classes  | 377        |
| 15.4.5     | Evaluation of Land Cover Maps  | 381        |
| 15.5       | Discussion   | 384        |
| 15.6       | Conclusions  | 389        |
| <b>16.</b> | <b>Toward a Spatial Model of Boreal Forest Ecosystems:<br/>The Role of Digital Terrain Analysis</b>                          | <b>391</b> |
|            | <i>by Brendan G. Mackey, Ian C. Mullen, Kenneth A. Baldwin, John C. Gallant,<br/>Richard A. Sims, and Daniel W. McKenney</i> |            |
| 16.1       | Introduction   | 391        |
| 16.1.1     | The Niche Hypothesis   | 392        |
| 16.1.2     | The Disturbance Hypothesis   | 393        |
| 16.2       | The Study Area   | 394        |
| 16.3       | Why Digital Terrain Analysis?  | 396        |
| 16.4       | Methods  | 397        |
| 16.4.1     | Ecology of the Target Tree Species   | 398        |
| 16.4.2     | Data Sources   | 399        |
| 16.4.3     | Analytical Techniques  | 403        |
| 16.5       | Results  | 409        |
| 16.5.1     | AMF Analyses   | 409        |
| 16.5.2     | Domain Analyses  | 410        |
| 16.6       | Discussion   | 415        |
| 16.6.1     | The Domain Hypothesis  | 420        |
| 16.7       | Conclusions  | 421        |
| <b>17.</b> | <b>Future Directions for Terrain Analysis</b>  | <b>423</b> |
|            | <i>by John C. Gallant, Michael F. Hutchinson, and John P. Wilson</i>   |            |
| 17.1       | Introduction   | 423        |
| 17.2       | Methodology and Data   | 423        |
| 17.3       | Knowledge of Relationships   | 425        |
| 17.4       | Scaling  | 426        |
| 17.5       | Concluding Remarks   | 426        |
|            | <b>References</b>  | <b>429</b> |
|            | <b>Index</b>   | <b>469</b> |