

# Contents

<i>List of Illustrative Material</i>	vii
<i>Preface</i>	ix
<i>List of Abbreviations</i>	x
<i>Acknowledgements</i>	xi
<b>1 Introduction</b>	<b>1</b>
What is a public policy?	3
Analytical perspectives on the policy-making process	7
Studying public policy: approach and structure of this book	11
<b>2 The Nature of Public Policies</b>	<b>14</b>
Typologies of public policies	15
Policy dimensions	28
Policy styles	32
Conclusions	37
<b>3 The Context for Policy-Making: Central Institutions and Actors</b>	<b>40</b>
National institutions: defining the rules of the political game	42
Supranational and intergovernmental institutions: policy-making in a multilevel system	50
Key actors	55
Conclusions	67
<b>4 Theoretical Approaches to Policy-Making</b>	<b>69</b>
Structure-based models	70
Institution-based models	76
Interest-based models	82
Conclusions	92
<b>5 Problem Definition and Agenda-Setting</b>	<b>97</b>
Problem definition: the path to agenda-setting	98
Analytical concepts of agenda-setting	106
Actors and interests in the agenda-setting process	113
Conclusions	119

---

<b>6</b>	<b>Decision-Making</b>	<b>121</b>
	Determinants of policy formulation	123
	Institutional and procedural dimensions of decision-making	133
	Illustration: decision-making in the United Kingdom, Australia, Canada and the United States	138
	Conclusions	145
<b>7</b>	<b>Implementation</b>	<b>148</b>
	Who is involved in policy implementation?	150
	Analytical perspectives in implementation research	152
	Implementation success: criteria and determinants	158
	Conclusions	171
<b>8</b>	<b>Evaluation</b>	<b>174</b>
	Types and methods of evaluation	176
	Theory in policy evaluation	186
	The political dimension of policy evaluation	191
	Conclusions	197
<b>9</b>	<b>Governance: A Synoptic Perspective on Policy-Making</b>	<b>199</b>
	Central concepts and modes of governance	200
	Four ideal types of governance	209
	When is governance good?	215
	Conclusions	219
<b>10</b>	<b>Public Policies beyond the Nation State</b>	<b>222</b>
	Public policies beyond the nation state: general assessment	224
	Policy formulation: typical interest constellations and interaction	232
	Policy implementation	242
	Conclusions	248
<b>11</b>	<b>Policy Change and Policy Convergence</b>	<b>250</b>
	Policy change: theories, measurement and general patterns	251
	Cross-national policy convergence: concept, measurement and dimensions	265
	Causes and conditions of cross-national policy convergence	272
	Conclusions	277
<b>12</b>	<b>Conclusions: Future Challenges for Public Policy Analysis</b>	<b>280</b>
	What have we learned?	280
	How can we move ahead?	283
	<i>References</i>	295
	<i>Index</i>	334

# List of Illustrative Material

## Tables

1.1	Basic research topics of public policy analysis	2
1.2	Policy stages and related research topics	10
2.1	Lowi's policy typology	17
2.2	Wilson's typology	21
2.3	Policy classification by governance principles	27
2.4	Potential determinants of policy styles	35
4.1	Health expenditure in OECD countries	93
7.1	Criteria for measuring implementation success	160
7.2	Instrument choices in the light of capacity and subsystem characteristics	162
9.1	Overview of governance concepts	201
9.2	Four ideal types of governance	210
11.1	Dimensions and indicators of policy change	263
11.2	Policy convergence and related concepts	268
11.3	Four forms of policy convergence	270
11.4	Dimensions of policy convergence	271
11.5	Causes of cross-national policy convergence	275

## Figures

3.1	Stylized institutional setup of a polity	43
7.1	Institutional adaptation pressure and implementation effectiveness	168
8.1	Non-equivalent comparison group design	181
11.1	Types of censoring	259

## Boxes

2.1	Politics of same-sex marriage	19
3.1	Composition of the legislature in South Africa	45
3.2	The WTO, tuna and dolphins	54
3.3	Forum 50%: a women's interest group	64
4.1	Structure of the prisoner's dilemma	90
5.1	Media advocacy and smoke-free bars in Australia	102

5.2	Sexual harassment as a policy problem	105
5.3	Issue attention cycle	109
6.1	Drafting the Kenyan biosafety law	126
7.1	Delivery units for improving implementation	165
7.2	Implementation of Mexican environmental policy	169
7.3	Alcohol control in Russia	170
8.1	Welfare reform and fertility decisions	182
8.2	Nursing intervention in Sweden	184
9.1	Drug policy implementation through local networks	204
10.1	EU polity	228
10.2	The Universal Postal Union	234
10.3	Indian ban on Chinese toys	235
10.4	The California effect	236
10.5	Implementation of international agreements in federal systems	247
11.1	Change in Swiss drug policy	254
11.2	Environmental policy convergence in Europe	274

201	Overview of governance concepts	9.1
210	Foundational typologies of governance	9.2
263	Dimensions and indicators of policy change	11.1
268	Policy convergence and related concepts	11.2
270	Four forms of policy convergence	11.3
271	Dimensions of policy convergence	11.4
272	Causes of cross-national policy convergence	11.5
272	State actors and policy convergence	11.6
272	Public policies beyond national and policy level	11.7
	Figures	
	Interaction	232
43	Stylized institutional setup of a policy implementation cycle	234
	Institutional adaptation pressure and implementation	235
168	Effectiveness	262
181	Non-equivalent comparison group design	8.1
229	Types of conditioning	11.1
	Measurement for international policy convergence	262
	Causes and conditions of international policy convergence	272
	Boxes	
	Conclusion	172
19	Politics of same-sex marriage	3.1
43	Composition of the legislature in South Africa	3.2
24	The WTO, tuna and dolphins	3.3
64	Forum 2004: a women's interest group	3.4
90	Structure of the prisoner's dilemma	3.5
102	Media advocacy and smoke-free bars in Australia	3.6
		333