

# Contents

<i>Preface</i>	<i>page</i> xiii
<i>List of Abbreviations</i>	xvi
Introduction	1
I.1 Content	5
I.2 Foundations	7
1 International Crimes	15
1.1 Background	16
1.1.1 Theories of International Crimes	18
1.1.1.1 Evil Nature of the Offence ( <i>Malum in se</i> )	19
1.1.1.2 Prohibited Evil ( <i>Malum Prohibitum</i> )	20
1.1.2 Structure of an International Crime	22
1.2 First Generation Crimes	23
1.2.1 Piracy	23
1.2.2 Slavery and Slavery-Like Practices	25
1.2.3 Terrorism	29
1.3 Core Crimes	32
1.3.1 Genocide	32
1.3.1.1 Origin	33
1.3.1.2 Nature of the Crime	34
1.3.1.3 Protected Groups	35
1.3.1.4 Specific Intent	37
1.3.1.4.1 Objective vs. Subjective Interpretation	38
1.3.1.4.2 Localized Genocide	39
1.3.1.4.3 Inferred Intent	41
1.3.1.4.4 The Knowledge-Based Approach	43
1.3.1.4.5 Intent and Forced Transfer	44
1.3.1.5 ‘Cultural’ Genocide	46
1.3.1.6 Words as Bullets	47
1.3.1.7 Paradoxes of the ‘New Law on Genocide’	50

1.3.2	Crimes against Humanity	52
1.3.2.1	Origin	52
1.3.2.2	Normative Theories	53
1.3.2.2.1	Attack on Humanity and Humanness	53
1.3.2.2.2	Threat to International Peace and Security	54
1.3.2.2.3	Abuse of Power through State or Organizational Policy	54
1.3.2.3	Context	57
1.3.2.4	Crime Typologies and Dynamic Interpretation	58
1.3.2.4.1	Modern-Day Slavery and Human Trafficking	59
1.3.2.4.2	Torture	61
1.3.2.4.3	Crimes of Sexual and Gender-Based Violence	62
1.3.2.4.4	Enforced Disappearances	65
1.3.2.4.5	Apartheid	68
1.3.2.4.6	Persecution	70
1.3.2.4.7	Other Inhumane Acts	72
1.3.2.4.8	Merits and Discontents	73
1.3.3	War Crimes	73
1.3.3.1	Origin	74
1.3.3.2	Definition	75
1.3.3.3	Types of Conflicts	76
1.3.3.4	Actors and Threshold	79
1.3.3.5	Nexus to Armed Conflict	81
1.3.3.6	Types of War Crimes	81
1.3.3.6.1	Protection of Non-Combatants	81
1.3.3.6.2	Principle of Distinction	83
1.3.3.6.3	Principle of Proportionality	87
1.3.3.6.4	Restriction of Means and Methods of Warfare	89
1.3.3.6.5	Mental Elements	93
1.3.3.6.6	Merits and Discontents	94
1.3.4	The Crime of Aggression	95
1.3.4.1	Origin	97
1.3.4.2	Jurisdictional Dilemmas	98
1.3.4.3	Definitional Dilemmas	100
1.3.4.3.1	State Act of Aggression	100
1.3.4.3.2	Individual Act	102
1.3.4.4	Implications	102

1.4	Sidelined Crimes	105
1.4.1	Organized Economic Crime	105
1.4.2	Crimes against the Environment	108
1.4.3	Famine-Related Crimes	110
1.4.4	Trends and Critiques	113
2	Individual and Collective Responsibility	117
2.1	Individualization of Responsibility	119
2.1.1	The Nuremberg Mantra and Its Consequences	119
2.1.2	Justifications	123
2.1.3	Limitations	124
2.2	System Criminality	127
2.2.1	Collective Nature	128
2.2.2	Role of Hierarchies	129
2.2.3	Power and Obedience	129
	2.2.3.1 Rule Orientation	130
	2.2.3.2 Role Orientation	130
	2.2.3.3 Value Reorientation	131
2.3	Leadership Accountability	131
2.4	Modes of Liability	132
2.4.1	Collective vs. Individual Responsibility as Perpetrator	133
	2.4.1.1 Joint Criminal Enterprise	134
	2.4.1.2 The Control Theory	135
	2.4.1.2.1 Control over the Crime	136
	2.4.1.2.2 Organizational Control	136
2.4.2	Other Forms of Liability	138
2.4.3	Challenges	145
2.5	Grounds Excluding Criminal Responsibility	146
2.5.1	Context	147
2.5.2	Approaches	147
2.5.3	Mental Capacity	149
2.5.4	Intoxication	149
2.5.5	Self-Defence	150
2.5.6	Duress	151
2.5.7	Superior Order	155
2.5.8	Other Grounds	156
2.6	Merits and Critiques	157
3	The Global Institutional Architecture	159
3.1	The Turn to Global Justice Institutions	160
3.1.1	A Brief Intellectual History	160
3.1.2	Changing Justifications	163

3.2	Critiques	166
3.2.1	Victor's Justice	166
3.2.2	Imperial Justice	168
3.2.3	Globalization Critiques	171
3.2.4	Socio-Legal Critiques	172
3.3	Paradoxes	173
3.3.1	Goal Ambiguity	174
3.3.1.1	Prevention and Deterrence	175
3.3.1.2	Incapacitation	176
3.3.1.3	Historical Clarification	177
3.3.1.4	Reconciliation	178
3.3.1.5	Building Domestic Capacity	180
3.3.1.6	Expressivism	181
3.3.2	Multiple Roles and Identities	182
3.4	Justice Models	184
3.4.1	Domestic Enforcement	184
3.4.2	International Criminal Jurisdiction	191
3.4.2.1	Ad Hoc Justice	192
3.4.2.2	The Rome Statute System	194
3.4.3	Hybrid Justice	197
3.4.3.1	Hybrid Courts	198
3.4.3.1.1	The Special Court for Sierra Leone	199
3.4.3.1.2	The Special Tribunal for Lebanon	200
3.4.3.2	Internationalized Domestic Courts	202
3.4.3.2.1	The Extraordinary Chambers in the Courts of Cambodia	202
3.4.3.2.2	The Extraordinary African Chambers in Senegal	204
3.4.3.2.3	The Kosovo Specialist Chambers and Prosecutor's Office	206
3.4.3.2.4	The Special Criminal Court in the Central African Republic	208
3.4.3.3	Merits and Critiques	209
3.4.4	Regional Courts	210
3.4.4.1	The Naissance of Regional Mechanisms	210
3.4.4.2	The Malabo Protocol	211
3.4.5	Other Accountability Mechanisms	213
3.4.5.1	Commissions of Inquiry and Investigative Bodies	213
3.4.5.2	Truth Commissions	216
3.4.5.3	Alternative Forms of Justice	219
3.5	Systemic Interaction	221
3.5.1	Complementarity	221

3.5.1.1	Diverse Complementarity Meanings	222
3.5.1.2	No One-Size-Fits-All Model	224
3.5.1.3	Challenges and Critiques of ICC Practice	226
3.5.1.4	Beyond Complementarity	229
3.5.2	Cooperation	230
3.5.2.1	Models of Cooperation	231
3.5.2.1.1	The Classical Horizontal Regime	232
3.5.2.1.2	The Vertical Approach	233
3.5.2.1.3	The 'Mixed' ICC Regime	235
3.5.2.2	Gaps and Dilemmas	238
3.5.2.2.1	Gaps in the Legal Framework	238
3.5.2.2.2	Human Rights Dilemmas	242
3.5.2.2.3	Enforcement of Sentences	246
3.6	Impediments to Enforcement	247
3.6.1	Ne bis in idem	247
3.6.1.1	International <i>Ne bis in idem</i> Protection	248
3.6.1.2	The Problem of Transnational Application	249
3.6.2	Immunities	250
3.6.2.1	Towards an International Crimes Exception in Interstate Relations?	251
3.6.2.1.1	Functional Immunity	251
3.6.2.1.2	Personal Immunity	253
3.6.2.2	An International Court or Tribunal Exception?	255
3.6.2.2.1	Conflicting Rulings	255
3.6.2.2.2	The Battle Over Immunities in the ICC Context	256
3.6.3	The Amnesty Dilemma	258
3.6.3.1	Notion and Forms of Amnesty	259
3.6.3.2	Legal Treatment	261
3.6.3.3	Pardons	265
3.7	Merits and Critiques	266
4	International Criminal Justice Procedures	269
4.1	Hybridization of International Criminal Procedure	270
4.2	Developments and Trends	272
4.3	Justice Actors	277
4.3.1	The Prosecution	277
4.3.1.1	Unique Features	278
4.3.1.2	Role and Responsibilities	281
4.3.2	The Defence	283
4.3.2.1	Roles	283
4.3.2.2	Macro-Challenges	284

4.3.2.2.1	Equality of Arms	284
4.3.2.2.2	Moving Targets	285
4.3.2.2.3	Confronting Hearsay or Anonymous Evidence	285
4.3.2.2.4	Guilt by Association	286
4.3.2.3	Strategies	286
4.3.2.4	Representation	288
4.3.2.5	Presence at Trial	290
4.3.3	Role of Judges	291
4.3.3.1	Fact-Finding	292
4.3.3.2	Interpreting the Law vs. Lawmaking	295
4.3.3.3	Managerial Functions	299
4.3.4	Victims	301
4.3.4.1	The Case for Victim Participation	301
4.3.4.2	Role in Proceedings	304
4.3.4.2.1	Domestic Approaches	304
4.3.4.2.2	International Approaches	305
4.3.5	Victims vs. Perpetrators: The Child Soldier Dilemma	314
4.3.5.1	The Victim Narrative	314
4.3.5.2	The Accountability Narrative	316
4.3.5.3	Bridging the Divide	316
4.3.6	Witnesses	317
4.3.7	Other Actors	322
4.3.7.1	Role of States	322
4.3.7.2	Civil Society Interventions	324
4.4	The Justice Process	326
4.4.1	The Pre-Investigative Phase	327
4.4.1.1	Functions	327
4.4.1.2	Dilemmas	329
4.4.2	Investigation	332
4.4.2.1	Comparative Foundations	332
4.4.2.2	Macro-Challenges	334
4.4.2.3	Building Cases	335
4.4.2.4	Gathering Evidence	337
4.4.2.4.1	Types of Evidence	337
4.4.2.4.2	Challenges of New Technologies	340
4.4.2.4.3	Admissibility and Exclusion of Evidence	343
4.4.2.5	Investigative Choices	345
4.4.2.5.1	Selecting Situations	346
4.4.2.5.2	Selecting Cases	347
4.4.2.6	Outsourcing Investigations	353
4.4.2.7	Timing of Investigations	356

4.4.3	Pre-Trial	357
4.4.3.1	Competing Philosophies	358
4.4.3.2	Politics of Warrants of Arrest or Summons to Appear	358
4.4.3.3	Dilemmas of Pre-Trial Detention	360
4.4.3.4	Charging Strategy	360
4.4.3.5	Disclosure Challenges	364
4.4.3.6	Judicial Review of Charges	366
4.4.4	Trying Perpetrators	368
4.4.4.1	Nature of the Trial	369
4.4.4.2	Trial Stages	370
4.4.4.3	Deliberations	372
4.4.4.4	The Judgment	374
4.4.5	Appeals	376
4.4.6	Concluding Reflections	377
5	Remedying Wrong	381
5.1	Foundations of Punishment	382
5.1.1	Rationales of Punishment	382
5.1.2	Legal Principles	386
5.1.3	Types of Penalties	388
5.1.4	Sentencing	389
5.1.5	The Role of Plea Bargaining	392
5.1.6	Review of Sentence and Early Release	394
5.2	Repairing Harm	396
5.2.1	Foundations	396
5.2.2	Approaches towards Reparation	399
5.2.3	Modalities and Forms of Reparation	402
5.2.4	Tensions	407
6	Beyond the Status Quo: Rethinking International Criminal Law	412
6.1	Modesty	412
6.2	Signposts for the Future	413
6.2.1	The Sovereignty Paradox	414
6.2.2	Conceptualizing Crimes	416
6.2.3	International Criminal Law's Subjects	418
6.2.4	Images of the Individual	419
6.2.5	Portraying 'the Other'	421
6.2.6	Institutional Self-Reflexivity	423
6.2.7	Justice	425
6.2.8	A Relational Account	428
	<i>Index</i>	430