

Contents

<i>List of Contributors</i>	xix
<i>Glossary and List of Abbreviations</i>	xxv
<i>Tables of Cases</i>	xxxiii
<i>Table of National Legislation and other National Texts</i>	lix
<i>Table of Treaties and other International Instruments</i>	lxv

Part A Major Problems of International Criminal Justice

I. How to Face International Crimes

Collective Violence and International Crimes	5
<i>A. Ceretti</i>	
State Responsibility and Criminal Liability of Individuals	16
<i>A. Bianchi</i>	
Alternatives to International Criminal Justice	25
<i>J. E. Alvarez</i>	

II. Fundamentals of International Criminal Law

Sources of International Criminal Law	41
<i>D. Akande</i>	
General Principles of International Criminal Law	54
<i>G. Werle</i>	
International Criminalization of Prohibited Conduct	63
<i>P. Gaeta</i>	
Gender-related Violence and International Criminal Law and Justice	75
<i>C. Chinkin</i>	
Modes of International Criminal Liability	82
<i>B. Swart</i>	

III. The Interplay of International Criminal Law and Other Bodies of Law

- Comparative Criminal Law as a Necessary Tool for the Application
of International Criminal Law 97
M. Delmas-Marty
- The Influence of the Common Law and Civil Law
Traditions on International Criminal Law 104
G.P. Fletcher
- Humanitarian Law and International Criminal Law 111
M. Sassòli

IV. International Criminal Trials

- The Rationale for International Criminal Justice 123
A. Cassese
- International Criminal Justice in Historical Perspective:
The Tension Between States' Interests and the Pursuit of
International Justice 131
M. Cherif Bassiouni
- The International Criminal Court as a Turning Point in the History
of International Criminal Justice 143
C. Kreß
- The International Criminal Court and Third States 160
Bing Bing Jia
- Politics and Justice: The Role of the Security Council 168
D. Shraga
- Problematic Features of International Criminal Procedure 175
M. Damaška
- Cooperation of States with International Criminal Tribunals 187
G. Sluiter
- Means of Gathering Evidence and Arresting Suspects in Situations
of States' Failure to Cooperate 201
R. Cryer
- International v. National Prosecution of International Crimes 208
F. Jessberger
- Judicial Activism v. Judicial Restraint in International Criminal Justice 216
S. Zappalà

Part B Issues, Institutions, and Personalities

Abuse of Process (in International Criminal Proceedings)	226	Child Soldiers (Recruitment and Use in Armed Conflict)	261
Accusatorial v. Inquisitorial Model	227	Child Witnesses	262
Accused (Rights of)	227	Civilians (Attacks on)	263
Acquittal	228	Civilians (Protection of)	264
Active Personality Principle	228	Civil Remedies for International Crimes	265
<i>Actus Reus</i>	229	Code of Conduct for Defence Counsel (Appearing before International Criminal Courts and Tribunals)	267
<i>Ad Litem</i> Judges	230	Combatants	268
Adversarial v. Inquisitorial Model	230	Command Responsibility	270
Affidavit	234	Commission on the Responsibility of Authors of War (Report of)	272
Aggravating Circumstances	235	Commissions of Inquiry	272
Aggression	236	Common Article 3	274
Aiding and Abetting	239	Compensation to Victims	276
Alibi	240	Complicity in Genocide	277
Alien Tort Statute	240	Concentration Camps	278
Amendments to the RPE (ICTY and ICTR)	241	Conspiracy	279
American Servicemen Protection Act	243	Contempt of Court	280
<i>Amicus Curiae</i>	243	Control Council Law No. 10	281
Amnesty	243	Counsel (Right to)	282
Anonymous Witness	244	Courts Martial	283
Apartheid as an International Crime	245	Crimes Against Humanity	284
Appeal	246	Cross-examination	288
Arbitrary Arrests and Detentions	247	<i>Culpa</i>	288
Armed Conflict	247	Culpability	288
Armenian Genocide	248	Cumulative Convictions	289
Armenians (Massacres of)	248	Death Penalty (International Crimes)	291
Arms Trafficking	250	De Facto Command	291
Arrest and Surrender	250	Defence Counsel	292
Article 98 (ICCSt.) Agreements	252	Defence Counsel Associations (International Bar Associations)	294
Attack on Civilians	253	Defences	294
Attempt	253	Defendant (Rights of)	294
<i>Aut Dedere Aut Judicare</i>	253	Deferral	295
Bail	255	De Jure Command	295
Belgian Legislation on International Crimes	255	Deportation and Forcible Transfer	295
Bernard, Henri	255	Deschênes, Jules	296
Bernays, Murray C.	255	Detention (Ad Hoc International Tribunals)	296
Beyond Reasonable Doubt	257	Detention (Conditions of)	297
Biddle, Francis	257	Direct Examination	298
<i>Blockburger</i> Test	257	Disappearances (Forced)	299
Bosnian Special Court	258	Disclosure	299
Burden of Proof	259	Discovery	300
Chapeau Elements	260		
Chapter VII Measures (UN Charter) with regard to International Tribunals	260		

Disqualification	300	Human Trafficking	343
Documentary Evidence	300	ICC (Appellate Proceedings)	344
<i>Dolus Eventualis</i>	302	ICC (Charges)	345
<i>Dolus Specialis</i>	304	ICC (Complementarity)	346
Donnedieu de Vabres, Henri	304	ICC (Deferral)	348
Double Jeopardy	304	ICC (Office of the Prosecutor)	348
Drug Trafficking	305	ICC (Pre-Trial Proceedings)	349
Duress	305	ICC (Structure and Functioning)	350
Duty to Punish	305	ICC (Trial Proceedings)	352
East Timor Special Panels	307	ICC (Trigger Mechanisms)	353
Effective Control	308	ICTR	354
Elements of Crimes (in the ICC)	308	ICTY	357
Enemy Combatants	309	ICTY and ICTR (Appellate Proceedings)	359
Enforced Disappearances	309	ICTY and ICTR (Bureau)	361
Enforcement of Sentences	310	ICTY and ICTR (Completion Strategy)	362
Equality of Arms	311	ICTY and ICTR (Indictment)	363
Ethnic Cleansing	312	ICTY and ICTR (Pre-Trial Proceedings)	364
European Arrest Warrant	313	ICTY and ICTR (Trial Proceedings)	365
Evidence	313	ICTY and ICTR (Sentencing)	367
Examination-in-chief	317	Immunities of Persons from Jurisdiction	368
Exculpatory Evidence	317	Immunity of Property	369
Ex Post Facto Law	318	Impartiality of Judges	370
Excuses and Justifications	318	Inchoate Crimes	372
Extenuating Circumstances	320	Incitement (to Commit Genocide)	373
Extermination (as a Crime Against Humanity)	320	Independence and Impartiality of Tribunals	374
Extradition	321	Inquiry Commissions	375
Extraordinary Chambers of Cambodia	322	Inquisitorial v. Accusatorial Model	375
Fair Trial	324	Instigation	375
Financing (of Persons or Groups Committing International Crimes)	325	Intent	376
Forced Marriages	326	Interlocutory Appeal	378
Forced Pregnancy	326	Internal Armed Conflict	379
Freezing of Assets	326	International Armed Conflict	382
Gacaca	329	International Committee of the Red Cross	383
Geneva Conventions of 1949 and Additional Protocols	330	Internationalized Armed Conflict	384
Genocide	332	Intoxication	385
German Law on International Crimes	336	Investigations	386
Grave Breaches	336	Iraqi High Tribunal	386
Guantánamo	337	Jackson, Robert	389
Guerillas	338	Jahrreiss, Hermann	390
Guilty Plea	338	Jaranilla, Delfin	391
Hague Conventions on the Laws of Warfare	340	Joinder	391
Hate Crimes	340	Joint Criminal Enterprise	391
Headquarters Agreements	341	Joint Trials	396
Hearsay	342	Judges (Appointment, etc.)	397
Hijacking	343	Judicial Notice	397
Holocaust	343	Jurisdiction (Principles of)	399
Hostage Taking	343	Jurisdictional Challenges	399
Host State	343	Justifications	400

Kama, Laïty	401	Pal, Radhabinod	449
Keenan, Joseph	401	Pardon and Commutation of	
Kelsen, Hans	401	Sentences	450
Kosovo Special Courts	403	Passive Personality Principle	451
Kranzbühler, Otto	404	Penalties	452
Latin American Truth and		Persecution	453
Reconciliation Commission	405	Pillage	454
Lauterpacht, Sir Hersch	405	Piracy	455
Lawful Combatants	406	Planning	456
Lawrence, Lord Justice	406	Presumption of Innocence	457
Legal Aid (Assistance)	406	Pre-trial Chamber	458
Leipzig Supreme Court	407	Pre-trial Custody	459
Lieber Code	409	Pre-trial Judge (ICTY, ICTR)	460
Li, Haopei	410	Primacy (of Ad Hoc Tribunals)	461
Martens Clause	411	Principle of Legality (General)	462
May, Richard	412	Prisoners of War	462
<i>Mens Rea</i>	412	Privileges and Immunities of	
Mental Disease	415	International Personnel	464
Military Commissions	416	Prohibited Weapons	465
Military Commissions and		Proof (Burden of)	467
Courts-Martial in the USA	418	Proportionality	469
Military Objectives	419	Prosecutorial Discretion	471
Military Tribunals	420	Protected Objects	472
Mistake of Fact	421	Protected Persons	473
Mistake of Law	422	Protective Principle (Jurisdiction)	474
Mitigating Circumstances	423	Provisional Release	474
Mixed or Internationalized		Rape	477
Courts	424	Rebels	479
Money Laundering	426	Recklessness	479
Motive	426	Recusal of Judges	482
Multiple Accused	426	Referral	483
Murder	426	Registry	483
National Legislation on		Renditions (Extraordinary)	485
International Crimes	428	Reparation to Victims	487
Nationality Principle	430	Respect for Human Rights in	
National Security Interests		International Criminal	
(Protection of)	430	Proceedings	489
<i>Ne Bis in Idem</i>	431	Retroactive Legislation	490
Necessity and Duress	431	Review Proceedings	490
Negligence	433	Röling, Bernardus	491
Nexus with Armed Conflict	435	Rome Diplomatic Conference	
Nexus with Widespread and		(1998)	493
Systematic Attacks	436	Rule 61 Proceedings (ICTY,	
Nikitchenko, I.T.	436	ICTR)	494
Non-retroactivity	437	Rules of Procedure and Evidence	495
<i>Nullum Crimen Sine Lege</i>	438	Russell Tribunal	497
Nuremberg International Military		Rwandan Genocide Cases	498
Tribunal	441	Safe-Conduct	506
Nuremberg Trial(s)	443	Self-Defence	506
Offences Against the		Self-Representation	508
Administration of Justice	444	Sentencing	509
Omission	445	Separate and Dissenting Opinions	510
Ordering	446	Seriousness	511
Other Inhumane Acts	448	Servatius, Robert	512

Sexual Violence	513	Trial <i>in Absentia</i>	542
Sidhwa, R. S.	514	Truth and Reconciliation	
Slavery	514	Commissions (General)	543
Spanish Legislation on		Truth and Reconciliation	
International Crimes	515	Commissions in Africa and Asia	545
Special Court for Sierra Leone	515	Truth and Reconciliation	
Special Intent	517	Commissions in Latin America	547
Special Tribunal for Lebanon	519	Truth and Reconciliation	
Specificity of International		Commission in South Africa	551
Criminal Rules	520	<i>Tu Quoque</i> Principle	553
Standard of Proof	521	Unexploded Ordnances	554
Statute of Limitations	522	United Nations War Crimes	
Subpoenas	524	Commission	554
Superior Orders	525	Universal Jurisdiction	555
Superior Responsibility	527	Universality Principle	558
Surrender	527	Unlawful Combatants	558
Suspect	527	US Military Tribunals sitting at	
Suspension of Proceedings by		Nuremberg	558
the UN SC	528	Victims (Compensation)	562
Targeting (Rules on)	529	Victims' Participation in	
Taylor, Telford	530	International Proceedings	562
Territoriality Principle	531	Wang, T.	565
Terror, as found in <i>Galić</i>	532	Wanton Destruction Not Justified	
Terrorism	533	by Military Necessity	565
Timor Leste Special Panels	535	War Crimes (International Armed	
Tokyo International Military		Conflicts)	566
Tribunal	535	War Crimes (Non-international	
Torture	537	Armed Conflicts)	568
Totality Principle (in Sentencing)	538	Webb, William Flood	570
Transitional Justice	538	Wilful Killing	571
Treaty-based Crimes	540	Witness Protection	571

Part C Cases

A. and others v. Secretary of		Araki and Others	583
State for the Home Department		Ardeatine Caves Massacre case	585
(No. 2)	574	Arlt	585
Abbaye Ardenne case	575	Arrest Warrant Case	586
Abdül Kerim Bey and Others (<i>Trial</i>		Auschwitz Concentration Camp case	587
<i>for the Deportations from</i>		Aussaresses	587
<i>Beyük Dere</i>)	575	Azanian Peoples Organization	587
Afghan Asylum Seekers Case	575	B. (Germany)	590
Ahlbrecht	576	B. (The Netherlands)	591
Ahmed Mithad Bey and Others		Baba Masao	591
(<i>Trial of the Regional Party</i>		Babić	592
<i>Secretaries</i>)	577	Bagilishema	593
Akayesu	577	Bagosora (Military I)	595
Aleksovski	580	Bahâeddîn Şâkir Bey and Others	
Allers and Others	582	(<i>Harput Trial</i>)	596
Almelo case	582	Banović	597
Alstötter and Others (<i>the Justice</i>		Barayagwiza	598
<i>Trial</i>)	582	Barbie	598

Barnblatt	601	DRC v. Uganda	647
Barrios Altos	601	Dudley and Stephens	648
Beisky	602	Dulag Luft case	649
Bellmer	603	Dujail	649
Belsen Trial	604	Dusingize	650
Bisengimana	604	Eck and Others (<i>Peleus Trial</i>)	651
Bizimana	605	E. van E.	652
Bizimungu (Government II)	605	Ehel. M.	652
Bizumutima	606	Eichmann	653
BL.	606	Einsatzgruppen case	656
Blagojević and Jokić	607	Eisentrager	656
Blaškić	610	Engel	657
Blaškić Subpoena Proceedings	612	Enigster	658
Bonini	615	Enkelsroth	659
Borkum Island cases	616	Erdemović	660
Bosnia and Herzegovina v. Serbia and Montenegro	616	Eschner	663
Boudarel	617	Essen Lynching case	664
Bouterse	618	Ex Parte Milligan	664
Bralo	618	Ex Parte Quirin	664
Brandt and Others (<i>The Medical Trial or The Doctors' Trial</i>)	619	F.	667
Brđanin	620	Faurisson v. France	668
British Prosecutions Arising out of the War in Iraq	623	Ferrini	668
Brown	625	Feurstein and Others (<i>Ponzano case</i>)	670
Buck and Others	625	Filártiga	671
Buhler	626	Finta	673
Butare case	626	Flesch	675
Butare Four	626	Flick and others	675
Buzzacott v. Hill	627	Fofana and Kondewa	677
C. and B.	629	Friedman	681
Calley	629	Fröhlich	681
Cappellini and Others	631	Fullriede	682
Caroelli and Others	631	Fumi	682
Castro	632	Furundžija	683
Cavallo	633	Gacumbitsi	686
Čelebići	634	Gaddafi	687
Češić	634	Galić	688
Coard and Others	635	Galtieri	691
Cologne	636	Gatanazi	691
Cyangugu case	636	Gatorano	692
Damiri	637	Gerike and Others (<i>Velpke Baby Home case</i>)	692
Delalić and Others (Čelebići)	638	Gestapo Informer case	693
Demjanjuk, Ivan (John)	641	Glenn and Hickman	693
Demjanjuk, John	642	Gö	694
Deportations from Bayük Dere Trial	642	Göbell and Others (<i>Borkum Island case</i>)	695
Deronjić	642	Goldstein	696
Dithmar and Boldt (<i>Llandoverry Castle case</i>)	644	Göring and Others	696
Djajić	645	Golkel and Thirteen Others (<i>La Grande Fosse</i>)	702
Doctors' Trial	647	Gozawa and Others	702
Dover Castle case	647	Grabez	703
		Greifelt and Others (<i>The RuSHA case</i>)	703
		Grumpelt (the <i>Scuttled U-Boat case</i>)	705

Guatemalan Generals	706	Kayishema and Ruzindana	754
Guterres	707	Keenan	756
Gutweniger	707	Kemâl Bey and Tevfik Bey (<i>Yozgat Trial</i>)	757
H.	708	Kesslerling	758
H. v. Public Prosecutor	708	Killinger and Others (<i>Dulag Luft case</i>)	758
H. and Others	708	Kinder	759
Haase	709	Klein and Others (<i>Hadamar Trial</i>)	760
Habré	710	Knezević	761
Hadamar Trial	710	Köppelmann	761
Hadžihasanović and Kubura	710	Koppelstätter and Plattner	762
Haesiker (<i>Borkum Island case</i>)	713	Kordić and Čerkez	763
Halilović	713	Koshiro	766
Hamdan	716	Kotälla	767
Hamdi	717	Kottsiepen	767
Hans	718	Kouwenhoven	768
Haradinaj	718	Krajišnik	768
Harlan (<i>Jud Süss case</i>)	720	Kramer and others (<i>Belsen Trial</i>)	769
Harput Trial	721	Krauch and others (<i>IG-Farben case</i>)	771
Hechingen and Haigerloch Deportation case	721	Krnojelac	772
Hermann	721	Krstić	775
Herrod	722	Krumkamp	778
Heyer and Others (<i>Essen Lynching case</i>)	723	Krupp and Others	779
Heynen	724	Kunarac	780
High Command case	725	Kupreškić	782
Hinrichsen	725	Kušljic	786
Hinselmann and Others	725	Kuswani and Others	786
Hölzer and Others	726	Kvočka and Others	787
Homma	728	L. and Others	791
Honigman	728	La Grande Fosse	791
Hostage case	729	Lages	791
IG-Farben case	730	Laule	792
J. and R.	731	Lehnigk and Schuster	793
Janković	731	Limaj	793
Javor and Others	732	List and others (<i>Hostage case</i>)	795
Jelisić	732	Llandoverly Castle Case	797
Jepsen	734	Lubanga Dyilo	797
Jokić	735	M.	800
Jorgić	737	M. and G.	800
Jung and Schumacher	739	M. and Others	801
Justice Trial	740	Maltauro and Others	801
K.	741	Mälzer and Others (<i>Ardeatine Caves Massacre case</i>)	802
K. and M.	741	Martić	802
K. and S. (<i>Synagogue case</i>)	742	McMonagle	804
Kadić v. Karadžić	742	Media case	805
Kajelijeli	743	Medical Trial	805
Kambanda	745	Medina	805
Kamuhanda	747	Mehmed Ali Bey and Others (<i>Trial for the Trabzon Deportations and Massacres</i>)	805
Kappler and Others	749	Meir	806
Karadžić and Mladić (<i>Rule 61 Proceedings</i>)	750	Mengistu Hailemariam and Others	807
Karamira	752	Menten	807
Karemera	752		
Karera	753		

Meyer (<i>The Abbaye Ardenne</i> case)	808	Nulyarimma v. Thompson	858
Milch	809	Nzabirinda	859
Milošević D.	810	Nzabonimana and Ndashikirwa	859
Milošević S.	811	O.	861
Ministries case	815	Obrenović	861
Mittermair	815	Ohlendorf and Others	
Mitterstieler	815	(<i>Einsatzgruppen</i> case)	863
Motosuke	816	Orić	865
Mpambara	817	Ottoman Leaders' Trial	867
Mrđa	818	P.	868
Mrkšić and Others	819	P. and Others	868
Mugabe	821	Padilla	869
Mugesera	821	Pal	870
Muhimana	823	Papon	871
Muhoza	824	Peleus Trial	872
Mukantagara	824	Pilz	872
Mulka and Others (<i>Auschwitz Concentration Camp</i> case)	825	Pinochet	872
Müller and Others (I)	826	Plavšić	875
Müller and Others (II)	827	Pohl and Others	876
Mundo and Weiss (<i>Trial of Erich Weiss and Wilhelm Mundo</i>)	828	Polish Prisoner of War Case	878
Munyeshyaka (case of the Rwandan Priest)	828	Ponzano case	878
Musema	829	Potter	878
Muvunyi	830	Priebke and Hass	879
My Lai Trial	832	Priyanto	880
Nadler and Others	833	Public Committee Against Torture in Israel v. Israel	880
Nahimana and Others (<i>Media</i> case)	833	R. v. Evans and Others	881
Naletilić and Martinović	836	R. v. Kenyon and Others	881
Natzweiler Trial	839	R. v. Olditch; R. v. Jones	881
Nazi Atrocities in Kharkov Region case	839	R. v. Payne	882
Ndikumwami	839	R. v. Selman and Others	882
Ndindiliyimana and Others (<i>Military II</i> case)	839	Rajić	882
Neddermeier	840	Ramdohr	882
Neitz	841	Ramić	882
Neubacher	841	Rasul	883
Neumann K. (<i>Dover Castle</i> case)	842	Rauter	884
Neumann R.	843	Regional Party Secretaries' Trial	885
Ngomambiligi	844	Retzlaff and Others (<i>Case of Nazi Atrocities in Kharkov and the Kharkov Region</i>)	885
Nikolić D.	844	Röchling and Others	886
Nikolić M.	846	Rugambarara	887
Niyitegeka	849	Ruggiu	888
Niyonteze	851	Rumsfeld, Donald (Proceedings Against)	889
Niyonzima	851	Rupyisi and Musemakweli	891
Ntaganda	852	RuSHA case	891
Ntagerura and Others (<i>Cyangugu</i>)	852	Rutaganda	891
Ntakirutimana	854	Rutaganira	892
Nuremberg Trial(s)	856	Rwamakuba	894
Nwaoga	856	S.	896
Nyiramasuhuko and Others (<i>Butare</i> case)	856	S. and others (<i>Hechingen and Haigerloch Deportation</i> case)	896
		Sa'id Halim Paşa and Others, Tal'at Paşa and Others (<i>Trial of the Ottoman Leaders</i>)	897

Sablić and Others	899	Suratman	941
Sandroć and Others (<i>Almelo</i> case)	899	Suresh	941
Sarić	900	Synagogue case	942
Sävecke	902	T. and K.	943
Sawoniuk	902	Tachiona v. Mugabe and Others (<i>Tachiona II</i>)	943
Sch.	903	Tadić	944
Sch. O.	903	Targeted Killings	949
Schintholzer and Fritz	904	Tarneć	950
Schmitt	904	Taylor (<i>Immunity case</i>)	951
Schonfeld and Others	905	Tesch and others (<i>Zyklon B case</i>)	952
Schreiner Z.	906	Todorović	953
Schultz	906	Todović and Rašević	954
Schwarz	907	Torture case	955
Schwittkowski	908	Touvier	956
Scilingo	909	Toyoda	958
Scuttled U-Boat case	910	Trabzon Deportations and Massacres Trial	959
Sebastien	910	Twahirwa	959
Sedyono	910	V.	960
Seifert	911	van Anraat	960
Semanza	911	Vasiljević	961
Sepúlveda and Others (<i>Sandoval</i> case)	912	Velpke Baby Home case	963
Sergeant W.	913	von Falkenhausen and Others	963
Seromba	914	von Falkenhorst	964
Serugendo	916	von Hagenbach	965
Serushago	917	von Leeb and others (<i>High Command case</i>)	965
Šešelj	917	von Lewinski	966
Sharon and Others	918	von Schack and Kruska	967
Shimoda and Others	919	von Weizsäcker and Others (<i>Ministries case or Wilhelmstrasse case</i>)	967
Sikirica and Others	920	W.	970
Silaen	921	Wagener and Others	970
Simba	922	Waller and Day	971
Simić B. and Others	922	Wetzling and Others	972
Simić M.	925	Wielen and 17 Others (<i>The Stalag Luft III case</i>)	972
Sipo case	926	Wilhelm strasse case	973
Smith	926	Wintgen	973
Soares	927	Wirz	974
Soedjarwo	928	Wülfing and K.	974
Sokolović	928	Yamashita	976
Sosa	929	Yozgat Trial	978
Spadini	930	Zardad	979
Stakić	930	Zelenović	980
Stalag Luft III case	934	Zeuss and Others (<i>Natzweiler trial</i>)	981
Stanković	934	Zimmermann	981
Stenger and Crusius	935	Zühlke	982
St-Die	936	Zyklon B case	982
Straub and Others (<i>SIPO case</i>)	936		
Strauch and Others	938		
Strugar	938		
Sudrajat	940		
Sumida and Others	940		
<i>Index</i>			983