

Contents

Table of Reports and Decisions xix

Introduction 1

PART I—THE EFFECTIVENESS OF INTERNATIONAL LEGAL REGULATION

1. Doctrinal Treatment of the Effectiveness of Legal Regulation 9

**2. Characteristics and Implications of the Effectiveness of
Legal Regulation** 19

1. The Essence of the Effectiveness of Legal Regulation 19

2. Determinacy of International Legal Regulation 22

3. Judicial Responses to the Alleged Lack or Incompleteness
of Legal Regulation 26

4. Separation of International Law from Politics 29

5. The Interaction between Legal Regulation and the Sovereign
Freedom of Action 36

6. Standards of Reviewability and Excusability under
International Law 43

7. Evaluation 47

PART II—THRESHOLD OF LEGAL REGULATION

3. The Essence of the Threshold of Legal Regulation 51

1. Consensual Basis of International Law and the Threshold
of Legal Regulation 51

2. The Relevance of Natural Law 60

(a) Doctrinal Aspects 60

(b) Practical Aspects 66

(c) Evaluation 69

4. Customary Law and Inherent Rules 70

1. Consent as Basis of Customary Law 71

2. Consent and *Opinio Juris* 75

3. The Process of Emergence of *Opinio Juris* 80

4. Doctrinal Criticisms of and Alternatives to the Consensual Explanation of Custom	84
5. Consensual Basis of Custom and the Side Aspects of Custom-Generation	91
(a) General Aspects	91
(b) The Problem of Regional Customary Law	92
(c) The Problem of New States	93
(d) Protest and Persistent Objection	94
6. The Issue of Inherent and Fundamental Rules	96
7. Conclusion	100
 PART III—LAW AND NON-LAW IN THE INTERNATIONAL LEGAL SYSTEM 	
Introduction	105
 5. Fact as Non-Law and the Limits on its Relevance	 108
1. Conceptual Aspects	108
2. Facts and the Creation of International Rights and Titles	116
3. Fact-based Claims to Affect Existing Legal Regulation	129
4. The Factual Element in the Justification of Legal Rules	133
5. Effective Control of Territory or Conduct	137
6. The Factual Element and its Impact on the Scope of Legal Rules	148
7. The Prescription of <i>De Facto</i> Outcomes by Legal Rules	155
8. Requirements of Fact as Part of the Structural Framework of International Law	157
(a) The Law of State Responsibility	157
(b) The Law of Treaties	159
9. Evaluation	160
 6. Interest as Non-Law	 161
1. Conceptual Aspects	161
2. Claims of Independent Legal Relevance of Interest	165
3. References to Interest in Legal Rules	174
4. The Systemic Relevance of Interest: State of Necessity in the Law of State Responsibility	177
5. Evaluation	179
 7. Values as Non-Law	 180
1. General Aspects	180
2. Peace and Security	181

3. Sustainable Development	182
4. Democracy and 'Democratic Society'	184
5. Considerations of Humanity	189
6. Security and Survival of States	192
7. Evaluation	194
8. Quasi-Normative Non-Law	195
1. General Introduction	195
2. The Doctrine and Essence of the Margin of Appreciation	197
(a) General Aspects	197
(b) The European Convention on Human Rights	199
(c) WTO Law	201
(d) Bilateral Treaties	204
(e) Evaluation	207
3. Necessity	208
(a) The Law of the European Convention on Human Rights	208
(b) WTO Law	212
(c) Bilateral Treaties	213
(d) Humanitarian Law	214
(e) The Law of the Use of Force	219
(f) Conclusion	221
4. Equity	222
(a) General Aspects of Relevance	222
(b) The Indeterminacy of Equity	228
(c) The Essence of the Quasi-Normative Character of Equity	230
(d) The Non-Law Character of Equitable Criteria	232
(e) The Normative Basis of Equity	235
(f) The Scope of Relevance of Equity	237
(g) The Will of States and the Role of Tribunals	239
(h) Equity and the Risk of Subjectivism	240
(i) Factors Informing Equity	243
(j) Continuous Relevance of Law at the Stages of Application of Equity	246
(k) Individual Factors of Equity	249
(i) Geographical Factors	249
(ii) Practice and Conduct of the Parties	250
(iii) Interests of Coastal States	250
(iv) Equidistance	251
(l) Evaluation	253
5. The Standard of 'Fair and Equitable Treatment' in International Investment Law	254
(a) Conceptual Aspects	254
(b) Indeterminacy and the Quasi-Normative Character of 'Fair and Equitable Treatment'	257
(c) The General Content of 'Fair and Equitable Treatment'	258

(d) Specific Elements of the 'Fair and Equitable Treatment' Standard	261
(e) Evaluation	265
6. Proportionality	266
(a) General Aspects	266
(b) The Law of the Sea	267
(c) The European Convention on Human Rights	268
(d) WTO Law	270
(e) International Humanitarian Law	270
(f) The Law of the Use of Force	272
(g) Evaluation	274
7. Legitimate Expectations	275

PART IV—THE REGIME AND METHODS OF INTERPRETATION IN INTERNATIONAL LAW

9. Conceptual Aspects of Interpretation	285
1. The Limits on the Process of Interpretation	285
2. Acts and Rules Interpreted	297
10. Treaty Interpretation: Rules and Methods	301
1. Early Views on Treaty Interpretation	301
2. The Relevance of the Vienna Convention	308
3. Plain and Ordinary Meaning of Words	318
(a) The Logical and Normative Primacy of Plain and Ordinary Meaning	318
(b) The Resort to Plain and Ordinary Meaning in Judicial Practice	322
(c) The Concept of Autonomous Meaning	335
(d) The Reality and Implications of Textual Ambiguity in General	338
4. Context	339
(a) The Relevance and Limits of Context	339
(b) The Resort to Context in Practice	340
5. Object and Purpose of the Treaty	343
(a) Interpretative Relevance of the Object and Purpose	343
(b) Resort to Object and Purpose in Practice	345
(c) Object and Purpose of Individual Treaty Provisions	353
6. Subsequent Agreement and Subsequent Practice	355
(a) Conceptual and Structural Characteristics of Subsequent Practice	355
(b) Resort to Subsequent Practice in Jurisprudence	359
7. The 'Relevant Rules' of International Law	365
8. Preparatory Work	382
(a) Essence and Admissibility	382
(b) Preparatory Work in Judicial Practice	387

11. Treaty Interpretation: Effectiveness and Presumptions	393
1. The Principle of Effectiveness	393
(a) Essence and Reach	393
(b) Application in Jurisprudence	398
2. Restrictive Interpretation	413
(a) Essence and Doctrinal Treatment	413
(b) Application in Jurisprudence	415
3. Presumption against Redundancy	422
4. The Interpretation of Exceptions	424
5. Institutional Implications of Effective Interpretation	431
(a) Implied Powers of International Organisations	431
(b) Inherent Powers of International Tribunals	435
12. Interpretation of Jurisdictional Instruments	440
1. Doctrinal Argument	440
2. Interpretation of Special Agreements and Compromissory Clauses	441
3. Interpretation of Declarations under the Optional Clause of the International Court's Statute	460
4. Evaluation	464
13. Interpretation of Unilateral Acts and Statements	465
1. General Aspects	465
2. Principles of Interpretation of Unilateral Acts and Statements	466
3. Interpretation of Schedules of Commitments in WTO Law	477
4. Interpretation of Interpretative Declarations	480
5. Interpretation of Submissions to International Tribunals	482
6. Interpretation of Waivers	484
14. Interpretation of Institutional Decisions	487
1. Decisions of International Organisations	487
2. Decisions of International Tribunals	493
15. Interpretation of Customary Rules	496
16. The Agencies of Interpretation	511

PART V—TREATY INTERPRETATION
AND INDETERMINATE PROVISIONS
OF NON-LAW

17. The Essence of and Response to the Indeterminacy of Treaty Provisions	527
1. Conceptual Aspects	527
2. Presumption against Indeterminacy of Treaty Provisions in Jurisprudence	528
3. Emergency and Security Interest Exceptions	534
4. Determination of the 'Threat to the Peace' under Article 39 of the United Nations Charter	540
5. 'Self-Judging' Clauses	547
6. Indeterminate Provisions in Arms Control and Disarmament Treaties	552
7. The Evaluation of General Characteristics of 'Self-Judging' Clauses	554
18. Equity and Equitable Considerations in Treaties	557
1. Equity in the Law of Maritime Delimitation	557
2. 'Fair and Equitable Treatment' in Investment Treaties	560
(a) General Aspects of Interpretation	560
(b) Object and Purpose of the Treaty	562
(c) Autonomous Meaning of 'Fair and Equitable Treatment'	569
(d) The Proper Approach: Identity of 'Fair and Equitable Treatment' with the (Minimum) Standard of General International Law	571
(e) The Construction of 'Fair and Equitable Treatment' by the NAFTA Free Trade Commission	579
Conclusion	583
<i>Bibliography</i>	585
<i>Index</i>	593