

# Table of Contents

<b>1</b>	<b>The Worlds of Database Systems</b>	<b>1</b>
1.1	The Evolution of Database Systems . . . . .	2
1.1.1	Early Database Management Systems . . . . .	2
1.1.2	Relational Database Systems . . . . .	4
1.1.3	Smaller and Smaller Systems . . . . .	5
1.1.4	Bigger and Bigger Systems . . . . .	6
1.1.5	Client-Server and Multi-Tier Architectures . . . . .	7
1.1.6	Multimedia Data . . . . .	8
1.1.7	Information Integration . . . . .	8
1.2	Overview of a Database Management System . . . . .	9
1.2.1	Data-Definition Language Commands . . . . .	10
1.2.2	Overview of Query Processing . . . . .	10
1.2.3	Storage and Buffer Management . . . . .	12
1.2.4	Transaction Processing . . . . .	13
1.2.5	The Query Processor . . . . .	14
1.3	Outline of Database-System Studies . . . . .	15
1.3.1	Database Design . . . . .	16
1.3.2	Database Programming . . . . .	17
1.3.3	Database System Implementation . . . . .	17
1.3.4	Information Integration Overview . . . . .	19
1.4	Summary of Chapter 1 . . . . .	19
1.5	References for Chapter 1 . . . . .	20
<b>2</b>	<b>The Entity-Relationship Data Model</b>	<b>23</b>
2.1	Elements of the E/R Model . . . . .	24
2.1.1	Entity Sets . . . . .	24
2.1.2	Attributes . . . . .	25
2.1.3	Relationships . . . . .	25
2.1.4	Entity-Relationship Diagrams . . . . .	25
2.1.5	Instances of an E/R Diagram . . . . .	27
2.1.6	Multiplicity of Binary E/R Relationships . . . . .	27
2.1.7	Multiway Relationships . . . . .	28
2.1.8	Roles in Relationships . . . . .	29



2.1.9	Attributes on Relationships . . . . .	31
2.1.10	Converting Multiway Relationships to Binary . . . . .	32
2.1.11	Subclasses in the E/R Model . . . . .	33
2.1.12	Exercises for Section 2.1 . . . . .	36
2.2	Design Principles . . . . .	39
2.2.1	Faithfulness . . . . .	39
2.2.2	Avoiding Redundancy . . . . .	39
2.2.3	Simplicity Counts . . . . .	40
2.2.4	Choosing the Right Relationships . . . . .	40
2.2.5	Picking the Right Kind of Element . . . . .	42
2.2.6	Exercises for Section 2.2 . . . . .	44
2.3	The Modeling of Constraints . . . . .	47
2.3.1	Classification of Constraints . . . . .	47
2.3.2	Keys in the E/R Model . . . . .	48
2.3.3	Representing Keys in the E/R Model . . . . .	50
2.3.4	Single-Value Constraints . . . . .	51
2.3.5	Referential Integrity . . . . .	51
2.3.6	Referential Integrity in E/R Diagrams . . . . .	52
2.3.7	Other Kinds of Constraints . . . . .	53
2.3.8	Exercises for Section 2.3 . . . . .	53
2.4	Weak Entity Sets . . . . .	54
2.4.1	Causes of Weak Entity Sets . . . . .	54
2.4.2	Requirements for Weak Entity Sets . . . . .	56
2.4.3	Weak Entity Set Notation . . . . .	57
2.4.4	Exercises for Section 2.4 . . . . .	58
2.5	Summary of Chapter 2 . . . . .	59
2.6	References for Chapter 2 . . . . .	60
<b>3</b>	<b>The Relational Data Model</b> . . . . .	<b>61</b>
3.1	Basics of the Relational Model . . . . .	61
3.1.1	Attributes . . . . .	62
3.1.2	Schemas . . . . .	62
3.1.3	Tuples . . . . .	62
3.1.4	Domains . . . . .	63
3.1.5	Equivalent Representations of a Relation . . . . .	63
3.1.6	Relation Instances . . . . .	64
3.1.7	Exercises for Section 3.1 . . . . .	64
3.2	From E/R Diagrams to Relational Designs . . . . .	65
3.2.1	From Entity Sets to Relations . . . . .	66
3.2.2	From E/R Relationships to Relations . . . . .	67
3.2.3	Combining Relations . . . . .	70
3.2.4	Handling Weak Entity Sets . . . . .	71
3.2.5	Exercises for Section 3.2 . . . . .	75
3.3	Converting Subclass Structures to Relations . . . . .	76
3.3.1	E/R-Style Conversion . . . . .	77



3.3.2	An Object-Oriented Approach . . . . .	78
3.3.3	Using Null Values to Combine Relations . . . . .	79
3.3.4	Comparison of Approaches . . . . .	79
3.3.5	Exercises for Section 3.3 . . . . .	80
3.4	Functional Dependencies . . . . .	82
3.4.1	Definition of Functional Dependency . . . . .	83
3.4.2	Keys of Relations . . . . .	84
3.4.3	Superkeys . . . . .	86
3.4.4	Discovering Keys for Relations . . . . .	87
3.4.5	Exercises for Section 3.4 . . . . .	88
3.5	Rules About Functional Dependencies . . . . .	90
3.5.1	The Splitting/Combining Rule . . . . .	90
3.5.2	Trivial Functional Dependencies . . . . .	92
3.5.3	Computing the Closure of Attributes . . . . .	92
3.5.4	Why the Closure Algorithm Works . . . . .	95
3.5.5	The Transitive Rule . . . . .	96
3.5.6	Closing Sets of Functional Dependencies . . . . .	98
3.5.7	Projecting Functional Dependencies . . . . .	98
3.5.8	Exercises for Section 3.5 . . . . .	100
3.6	Design of Relational Database Schemas . . . . .	102
3.6.1	Anomalies . . . . .	103
3.6.2	Decomposing Relations . . . . .	103
3.6.3	Boyce-Codd Normal Form . . . . .	105
3.6.4	Decomposition into BCNF . . . . .	107
3.6.5	Recovering Information from a Decomposition . . . . .	112
3.6.6	Third Normal Form . . . . .	114
3.6.7	Exercises for Section 3.6 . . . . .	117
3.7	Multivalued Dependencies . . . . .	118
3.7.1	Attribute Independence and Its Consequent Redundancy . . . . .	118
3.7.2	Definition of Multivalued Dependencies . . . . .	119
3.7.3	Reasoning About Multivalued Dependencies . . . . .	120
3.7.4	Fourth Normal Form . . . . .	122
3.7.5	Decomposition into Fourth Normal Form . . . . .	123
3.7.6	Relationships Among Normal Forms . . . . .	124
3.7.7	Exercises for Section 3.7 . . . . .	126
3.8	Summary of Chapter 3 . . . . .	127
3.9	References for Chapter 3 . . . . .	129
<b>4</b>	<b>Other Data Models</b> . . . . .	<b>131</b>
4.1	Review of Object-Oriented Concepts . . . . .	132
4.1.1	The Type System . . . . .	132
4.1.2	Classes and Objects . . . . .	133
4.1.3	Object Identity . . . . .	133
4.1.4	Methods . . . . .	133
4.1.5	Class Hierarchies . . . . .	134



4.2	Introduction to ODL . . . . .	135
4.2.1	Object-Oriented Design . . . . .	135
4.2.2	Class Declarations . . . . .	136
4.2.3	Attributes in ODL . . . . .	136
4.2.4	Relationships in ODL . . . . .	138
4.2.5	Inverse Relationships . . . . .	139
4.2.6	Multiplicity of Relationships . . . . .	140
4.2.7	Methods in ODL . . . . .	141
4.2.8	Types in ODL . . . . .	144
4.2.9	Exercises for Section 4.2 . . . . .	146
4.3	Additional ODL Concepts . . . . .	147
4.3.1	Multiway Relationships in ODL . . . . .	148
4.3.2	Subclasses in ODL . . . . .	149
4.3.3	Multiple Inheritance in ODL . . . . .	150
4.3.4	Extents . . . . .	151
4.3.5	Declaring Keys in ODL . . . . .	152
4.3.6	Exercises for Section 4.3 . . . . .	155
4.4	From ODL Designs to Relational Designs . . . . .	155
4.4.1	From ODL Attributes to Relational Attributes . . . . .	156
4.4.2	Nonatomic Attributes in Classes . . . . .	157
4.4.3	Representing Set-Valued Attributes . . . . .	158
4.4.4	Representing Other Type Constructors . . . . .	160
4.4.5	Representing ODL Relationships . . . . .	162
4.4.6	What If There Is No Key? . . . . .	164
4.4.7	Exercises for Section 4.4 . . . . .	164
4.5	The Object-Relational Model . . . . .	166
4.5.1	From Relations to Object-Relations . . . . .	166
4.5.2	Nested Relations . . . . .	167
4.5.3	References . . . . .	169
4.5.4	Object-Oriented Versus Object-Relational . . . . .	170
4.5.5	From ODL Designs to Object-Relational Designs . . . . .	172
4.5.6	Exercises for Section 4.5 . . . . .	172
4.6	Semistructured Data . . . . .	173
4.6.1	Motivation for the Semistructured-Data Model . . . . .	173
4.6.2	Semistructured Data Representation . . . . .	174
4.6.3	Information Integration Via Semistructured Data . . . . .	175
4.6.4	Exercises for Section 4.6 . . . . .	177
4.7	XML and Its Data Model . . . . .	178
4.7.1	Semantic Tags . . . . .	178
4.7.2	Well-Formed XML . . . . .	179
4.7.3	Document Type Definitions . . . . .	180
4.7.4	Using a DTD . . . . .	182
4.7.5	Attribute Lists . . . . .	183
4.7.6	Exercises for Section 4.7 . . . . .	185
4.8	Summary of Chapter 4 . . . . .	186



4.9	References for Chapter 4 . . . . .	187
<b>5</b>	<b>Relational Algebra</b>	<b>189</b>
5.1	An Example Database Schema . . . . .	190
5.2	An Algebra of Relational Operations . . . . .	191
5.2.1	Basics of Relational Algebra . . . . .	192
5.2.2	Set Operations on Relations . . . . .	193
5.2.3	Projection . . . . .	195
5.2.4	Selection . . . . .	196
5.2.5	Cartesian Product . . . . .	197
5.2.6	Natural Joins . . . . .	198
5.2.7	Theta-Joins . . . . .	199
5.2.8	Combining Operations to Form Queries . . . . .	201
5.2.9	Renaming . . . . .	203
5.2.10	Dependent and Independent Operations . . . . .	205
5.2.11	A Linear Notation for Algebraic Expressions . . . . .	206
5.2.12	Exercises for Section 5.2 . . . . .	207
5.3	Relational Operations on Bags . . . . .	214
5.3.1	Why Bags? . . . . .	214
5.3.2	Union, Intersection, and Difference of Bags . . . . .	215
5.3.3	Projection of Bags . . . . .	216
5.3.4	Selection on Bags . . . . .	217
5.3.5	Product of Bags . . . . .	218
5.3.6	Joins of Bags . . . . .	219
5.3.7	Exercises for Section 5.3 . . . . .	220
5.4	Extended Operators of Relational Algebra . . . . .	221
5.4.1	Duplicate Elimination . . . . .	222
5.4.2	Aggregation Operators . . . . .	222
5.4.3	Grouping . . . . .	223
5.4.4	The Grouping Operator . . . . .	224
5.4.5	Extending the Projection Operator . . . . .	226
5.4.6	The Sorting Operator . . . . .	227
5.4.7	Outerjoins . . . . .	228
5.4.8	Exercises for Section 5.4 . . . . .	230
5.5	Constraints on Relations . . . . .	231
5.5.1	Relational Algebra as a Constraint Language . . . . .	231
5.5.2	Referential Integrity Constraints . . . . .	232
5.5.3	Additional Constraint Examples . . . . .	233
5.5.4	Exercises for Section 5.5 . . . . .	235
5.6	Summary of Chapter 5 . . . . .	236
5.7	References for Chapter 5 . . . . .	237



<b>6</b>	<b>The Database Language SQL</b>	<b>239</b>
6.1	Simple Queries in SQL . . . . .	240
6.1.1	Projection in SQL . . . . .	242
6.1.2	Selection in SQL . . . . .	243
6.1.3	Comparison of Strings . . . . .	245
6.1.4	Dates and Times . . . . .	247
6.1.5	Null Values and Comparisons Involving NULL . . . . .	248
6.1.6	The Truth-Value UNKNOWN . . . . .	249
6.1.7	Ordering the Output . . . . .	251
6.1.8	Exercises for Section 6.1 . . . . .	252
6.2	Queries Involving More Than One Relation . . . . .	254
6.2.1	Products and Joins in SQL . . . . .	254
6.2.2	Disambiguating Attributes . . . . .	255
6.2.3	Tuple Variables . . . . .	256
6.2.4	Interpreting Multirelation Queries . . . . .	258
6.2.5	Union, Intersection, and Difference of Queries . . . . .	260
6.2.6	Exercises for Section 6.2 . . . . .	262
6.3	Subqueries . . . . .	264
6.3.1	Subqueries that Produce Scalar Values . . . . .	264
6.3.2	Conditions Involving Relations . . . . .	266
6.3.3	Conditions Involving Tuples . . . . .	266
6.3.4	Correlated Subqueries . . . . .	268
6.3.5	Subqueries in FROM Clauses . . . . .	270
6.3.6	SQL Join Expressions . . . . .	270
6.3.7	Natural Joins . . . . .	272
6.3.8	Outerjoins . . . . .	272
6.3.9	Exercises for Section 6.3 . . . . .	274
6.4	Full-Relation Operations . . . . .	277
6.4.1	Eliminating Duplicates . . . . .	277
6.4.2	Duplicates in Unions, Intersections, and Differences . . . . .	278
6.4.3	Grouping and Aggregation in SQL . . . . .	279
6.4.4	Aggregation Operators . . . . .	279
6.4.5	Grouping . . . . .	280
6.4.6	HAVING Clauses . . . . .	282
6.4.7	Exercises for Section 6.4 . . . . .	284
6.5	Database Modifications . . . . .	286
6.5.1	Insertion . . . . .	286
6.5.2	Deletion . . . . .	288
6.5.3	Updates . . . . .	289
6.5.4	Exercises for Section 6.5 . . . . .	290
6.6	Defining a Relation Schema in SQL . . . . .	292
6.6.1	Data Types . . . . .	292
6.6.2	Simple Table Declarations . . . . .	293
6.6.3	Modifying Relation Schemas . . . . .	294
6.6.4	Default Values . . . . .	295



6.6.5	Indexes . . . . .	295
6.6.6	Introduction to Selection of Indexes . . . . .	297
6.6.7	Exercises for Section 6.6 . . . . .	300
6.7	View Definitions . . . . .	301
6.7.1	Declaring Views . . . . .	302
6.7.2	Querying Views . . . . .	302
6.7.3	Renaming Attributes . . . . .	304
6.7.4	Modifying Views . . . . .	305
6.7.5	Interpreting Queries Involving Views . . . . .	308
6.7.6	Exercises for Section 6.7 . . . . .	310
6.8	Summary of Chapter 6 . . . . .	312
6.9	References for Chapter 6 . . . . .	313
<b>7</b>	<b>Constraints and Triggers</b>	<b>315</b>
7.1	Keys and Foreign Keys . . . . .	316
7.1.1	Declaring Primary Keys . . . . .	316
7.1.2	Keys Declared With UNIQUE . . . . .	317
7.1.3	Enforcing Key Constraints . . . . .	318
7.1.4	Declaring Foreign-Key Constraints . . . . .	319
7.1.5	Maintaining Referential Integrity . . . . .	321
7.1.6	Deferring the Checking of Constraints . . . . .	323
7.1.7	Exercises for Section 7.1 . . . . .	326
7.2	Constraints on Attributes and Tuples . . . . .	327
7.2.1	Not-Null Constraints . . . . .	328
7.2.2	Attribute-Based CHECK Constraints . . . . .	328
7.2.3	Tuple-Based CHECK Constraints . . . . .	330
7.2.4	Exercises for Section 7.2 . . . . .	331
7.3	Modification of Constraints . . . . .	333
7.3.1	Giving Names to Constraints . . . . .	334
7.3.2	Altering Constraints on Tables . . . . .	334
7.3.3	Exercises for Section 7.3 . . . . .	335
7.4	Schema-Level Constraints and Triggers . . . . .	336
7.4.1	Assertions . . . . .	337
7.4.2	Event-Condition-Action Rules . . . . .	340
7.4.3	Triggers in SQL . . . . .	340
7.4.4	Instead-Of Triggers . . . . .	344
7.4.5	Exercises for Section 7.4 . . . . .	345
7.5	Summary of Chapter 7 . . . . .	347
7.6	References for Chapter 7 . . . . .	348
<b>8</b>	<b>System Aspects of SQL</b>	<b>349</b>
8.1	SQL in a Programming Environment . . . . .	349
8.1.1	The Impedance Mismatch Problem . . . . .	350
8.1.2	The SQL/Host Language Interface . . . . .	352
8.1.3	The DECLARE Section . . . . .	352



8.1.4	Using Shared Variables . . . . .	353
8.1.5	Single-Row Select Statements . . . . .	354
8.1.6	Cursors . . . . .	355
8.1.7	Modifications by Cursor . . . . .	358
8.1.8	Protecting Against Concurrent Updates . . . . .	360
8.1.9	Scrolling Cursors . . . . .	361
8.1.10	Dynamic SQL . . . . .	361
8.1.11	Exercises for Section 8.1 . . . . .	363
8.2	Procedures Stored in the Schema . . . . .	365
8.2.1	Creating PSM Functions and Procedures . . . . .	365
8.2.2	Some Simple Statement Forms in PSM . . . . .	366
8.2.3	Branching Statements . . . . .	368
8.2.4	Queries in PSM . . . . .	369
8.2.5	Loops in PSM . . . . .	370
8.2.6	For-Loops . . . . .	372
8.2.7	Exceptions in PSM . . . . .	374
8.2.8	Using PSM Functions and Procedures . . . . .	376
8.2.9	Exercises for Section 8.2 . . . . .	377
8.3	The SQL Environment . . . . .	379
8.3.1	Environments . . . . .	379
8.3.2	Schemas . . . . .	380
8.3.3	Catalogs . . . . .	381
8.3.4	Clients and Servers in the SQL Environment . . . . .	382
8.3.5	Connections . . . . .	382
8.3.6	Sessions . . . . .	384
8.3.7	Modules . . . . .	384
8.4	Using a Call-Level Interface . . . . .	385
8.4.1	Introduction to SQL/CLI . . . . .	385
8.4.2	Processing Statements . . . . .	388
8.4.3	Fetching Data From a Query Result . . . . .	389
8.4.4	Passing Parameters to Queries . . . . .	392
8.4.5	Exercises for Section 8.4 . . . . .	393
8.5	Java Database Connectivity . . . . .	393
8.5.1	Introduction to JDBC . . . . .	393
8.5.2	Creating Statements in JDBC . . . . .	394
8.5.3	Cursor Operations in JDBC . . . . .	396
8.5.4	Parameter Passing . . . . .	396
8.5.5	Exercises for Section 8.5 . . . . .	397
8.6	Transactions in SQL . . . . .	397
8.6.1	Serializability . . . . .	397
8.6.2	Atomicity . . . . .	399
8.6.3	Transactions . . . . .	401
8.6.4	Read-Only Transactions . . . . .	403
8.6.5	Dirty Reads . . . . .	405
8.6.6	Other Isolation Levels . . . . .	407



8.6.7	Exercises for Section 8.6 . . . . .	409
8.7	Security and User Authorization in SQL . . . . .	410
8.7.1	Privileges . . . . .	410
8.7.2	Creating Privileges . . . . .	412
8.7.3	The Privilege-Checking Process . . . . .	413
8.7.4	Granting Privileges . . . . .	414
8.7.5	Grant Diagrams . . . . .	416
8.7.6	Revoking Privileges . . . . .	417
8.7.7	Exercises for Section 8.7 . . . . .	421
8.8	Summary of Chapter 8 . . . . .	422
8.9	References for Chapter 8 . . . . .	424
<b>9</b>	<b>Object-Orientation in Query Languages</b>	<b>425</b>
9.1	Introduction to OQL . . . . .	425
9.1.1	An Object-Oriented Movie Example . . . . .	426
9.1.2	Path Expressions . . . . .	426
9.1.3	Select-From-Where Expressions in OQL . . . . .	428
9.1.4	Modifying the Type of the Result . . . . .	429
9.1.5	Complex Output Types . . . . .	431
9.1.6	Subqueries . . . . .	431
9.1.7	Exercises for Section 9.1 . . . . .	433
9.2	Additional Forms of OQL Expressions . . . . .	436
9.2.1	Quantifier Expressions . . . . .	437
9.2.2	Aggregation Expressions . . . . .	437
9.2.3	Group-By Expressions . . . . .	438
9.2.4	HAVING Clauses . . . . .	441
9.2.5	Union, Intersection, and Difference . . . . .	442
9.2.6	Exercises for Section 9.2 . . . . .	442
9.3	Object Assignment and Creation in OQL . . . . .	443
9.3.1	Assigning Values to Host-Language Variables . . . . .	444
9.3.2	Extracting Elements of Collections . . . . .	444
9.3.3	Obtaining Each Member of a Collection . . . . .	445
9.3.4	Constants in OQL . . . . .	446
9.3.5	Creating New Objects . . . . .	447
9.3.6	Exercises for Section 9.3 . . . . .	448
9.4	User-Defined Types in SQL . . . . .	449
9.4.1	Defining Types in SQL . . . . .	449
9.4.2	Methods in User-Defined Types . . . . .	451
9.4.3	Declaring Relations with a UDT . . . . .	452
9.4.4	References . . . . .	452
9.4.5	Exercises for Section 9.4 . . . . .	454
9.5	Operations on Object-Relational Data . . . . .	455
9.5.1	Following References . . . . .	455
9.5.2	Accessing Attributes of Tuples with a UDT . . . . .	456
9.5.3	Generator and Mutator Functions . . . . .	457



9.5.4	Ordering Relationships on UDT's . . . . .	458
9.5.5	Exercises for Section 9.5 . . . . .	460
9.6	Summary of Chapter 9 . . . . .	461
9.7	References for Chapter 9 . . . . .	462
<b>10</b>	<b>Logical Query Languages</b>	<b>463</b>
10.1	A Logic for Relations . . . . .	463
10.1.1	Predicates and Atoms . . . . .	463
10.1.2	Arithmetic Atoms . . . . .	464
10.1.3	Datalog Rules and Queries . . . . .	465
10.1.4	Meaning of Datalog Rules . . . . .	466
10.1.5	Extensional and Intensional Predicates . . . . .	469
10.1.6	Datalog Rules Applied to Bags . . . . .	469
10.1.7	Exercises for Section 10.1 . . . . .	471
10.2	From Relational Algebra to Datalog . . . . .	471
10.2.1	Intersection . . . . .	471
10.2.2	Union . . . . .	472
10.2.3	Difference . . . . .	472
10.2.4	Projection . . . . .	473
10.2.5	Selection . . . . .	473
10.2.6	Product . . . . .	476
10.2.7	Joins . . . . .	476
10.2.8	Simulating Multiple Operations with Datalog . . . . .	477
10.2.9	Exercises for Section 10.2 . . . . .	479
10.3	Recursive Programming in Datalog . . . . .	480
10.3.1	Recursive Rules . . . . .	481
10.3.2	Evaluating Recursive Datalog Rules . . . . .	481
10.3.3	Negation in Recursive Rules . . . . .	486
10.3.4	Exercises for Section 10.3 . . . . .	490
10.4	Recursion in SQL . . . . .	492
10.4.1	Defining IDB Relations in SQL . . . . .	492
10.4.2	Stratified Negation . . . . .	494
10.4.3	Problematic Expressions in Recursive SQL . . . . .	496
10.4.4	Exercises for Section 10.4 . . . . .	499
10.5	Summary of Chapter 10 . . . . .	500
10.6	References for Chapter 10 . . . . .	501
<b>11</b>	<b>Data Storage</b>	<b>503</b>
11.1	The "Megatron 2002" Database System . . . . .	503
11.1.1	Megatron 2002 Implementation Details . . . . .	504
11.1.2	How Megatron 2002 Executes Queries . . . . .	505
11.1.3	What's Wrong With Megatron 2002? . . . . .	506
11.2	The Memory Hierarchy . . . . .	507
11.2.1	Cache . . . . .	507
11.2.2	Main Memory . . . . .	508



11.2.3	Virtual Memory . . . . .	509
11.2.4	Secondary Storage . . . . .	510
11.2.5	Tertiary Storage . . . . .	512
11.2.6	Volatile and Nonvolatile Storage . . . . .	513
11.2.7	Exercises for Section 11.2 . . . . .	514
11.3	Disks . . . . .	515
11.3.1	Mechanics of Disks . . . . .	515
11.3.2	The Disk Controller . . . . .	516
11.3.3	Disk Storage Characteristics . . . . .	517
11.3.4	Disk Access Characteristics . . . . .	519
11.3.5	Writing Blocks . . . . .	523
11.3.6	Modifying Blocks . . . . .	523
11.3.7	Exercises for Section 11.3 . . . . .	524
11.4	Using Secondary Storage Effectively . . . . .	525
11.4.1	The I/O Model of Computation . . . . .	525
11.4.2	Sorting Data in Secondary Storage . . . . .	526
11.4.3	Merge-Sort . . . . .	527
11.4.4	Two-Phase, Multiway Merge-Sort . . . . .	528
11.4.5	Multiway Merging of Larger Relations . . . . .	532
11.4.6	Exercises for Section 11.4 . . . . .	532
11.5	Accelerating Access to Secondary Storage . . . . .	533
11.5.1	Organizing Data by Cylinders . . . . .	534
11.5.2	Using Multiple Disks . . . . .	536
11.5.3	Mirroring Disks . . . . .	537
11.5.4	Disk Scheduling and the Elevator Algorithm . . . . .	538
11.5.5	Prefetching and Large-Scale Buffering . . . . .	541
11.5.6	Summary of Strategies and Tradeoffs . . . . .	543
11.5.7	Exercises for Section 11.5 . . . . .	544
11.6	Disk Failures . . . . .	546
11.6.1	Intermittent Failures . . . . .	547
11.6.2	Checksums . . . . .	547
11.6.3	Stable Storage . . . . .	548
11.6.4	Error-Handling Capabilities of Stable Storage . . . . .	549
11.6.5	Exercises for Section 11.6 . . . . .	550
11.7	Recovery from Disk Crashes . . . . .	550
11.7.1	The Failure Model for Disks . . . . .	551
11.7.2	Mirroring as a Redundancy Technique . . . . .	552
11.7.3	Parity Blocks . . . . .	552
11.7.4	An Improvement: RAID 5 . . . . .	556
11.7.5	Coping With Multiple Disk Crashes . . . . .	557
11.7.6	Exercises for Section 11.7 . . . . .	561
11.8	Summary of Chapter 11 . . . . .	563
11.9	References for Chapter 11 . . . . .	565



<b>12 Representing Data Elements</b>	<b>567</b>
12.1 Data Elements and Fields . . . . .	567
12.1.1 Representing Relational Database Elements . . . . .	568
12.1.2 Representing Objects . . . . .	569
12.1.3 Representing Data Elements . . . . .	569
12.2 Records . . . . .	572
12.2.1 Building Fixed-Length Records . . . . .	573
12.2.2 Record Headers . . . . .	575
12.2.3 Packing Fixed-Length Records into Blocks . . . . .	576
12.2.4 Exercises for Section 12.2 . . . . .	577
12.3 Representing Block and Record Addresses . . . . .	578
12.3.1 Client-Server Systems . . . . .	579
12.3.2 Logical and Structured Addresses . . . . .	580
12.3.3 Pointer Swizzling . . . . .	581
12.3.4 Returning Blocks to Disk . . . . .	586
12.3.5 Pinned Records and Blocks . . . . .	586
12.3.6 Exercises for Section 12.3 . . . . .	587
12.4 Variable-Length Data and Records . . . . .	589
12.4.1 Records With Variable-Length Fields . . . . .	590
12.4.2 Records With Repeating Fields . . . . .	591
12.4.3 Variable-Format Records . . . . .	593
12.4.4 Records That Do Not Fit in a Block . . . . .	594
12.4.5 BLOBS . . . . .	595
12.4.6 Exercises for Section 12.4 . . . . .	596
12.5 Record Modifications . . . . .	598
12.5.1 Insertion . . . . .	598
12.5.2 Deletion . . . . .	599
12.5.3 Update . . . . .	601
12.5.4 Exercises for Section 12.5 . . . . .	601
12.6 Summary of Chapter 12 . . . . .	602
12.7 References for Chapter 12 . . . . .	603
<b>13 Index Structures</b>	<b>605</b>
13.1 Indexes on Sequential Files . . . . .	606
13.1.1 Sequential Files . . . . .	606
13.1.2 Dense Indexes . . . . .	607
13.1.3 Sparse Indexes . . . . .	609
13.1.4 Multiple Levels of Index . . . . .	610
13.1.5 Indexes With Duplicate Search Keys . . . . .	612
13.1.6 Managing Indexes During Data Modifications . . . . .	615
13.1.7 Exercises for Section 13.1 . . . . .	620
13.2 Secondary Indexes . . . . .	622
13.2.1 Design of Secondary Indexes . . . . .	623
13.2.2 Applications of Secondary Indexes . . . . .	624
13.2.3 Indirection in Secondary Indexes . . . . .	625



13.2.4	Document Retrieval and Inverted Indexes . . . . .	626
13.2.5	Exercises for Section 13.2 . . . . .	630
13.3	B-Trees . . . . .	632
13.3.1	The Structure of B-trees . . . . .	633
13.3.2	Applications of B-trees . . . . .	636
13.3.3	Lookup in B-Trees . . . . .	638
13.3.4	Range Queries . . . . .	638
13.3.5	Insertion Into B-Trees . . . . .	639
13.3.6	Deletion From B-Trees . . . . .	642
13.3.7	Efficiency of B-Trees . . . . .	645
13.3.8	Exercises for Section 13.3 . . . . .	646
13.4	Hash Tables . . . . .	649
13.4.1	Secondary-Storage Hash Tables . . . . .	649
13.4.2	Insertion Into a Hash Table . . . . .	650
13.4.3	Hash-Table Deletion . . . . .	651
13.4.4	Efficiency of Hash Table Indexes . . . . .	652
13.4.5	Extensible Hash Tables . . . . .	652
13.4.6	Insertion Into Extensible Hash Tables . . . . .	653
13.4.7	Linear Hash Tables . . . . .	656
13.4.8	Insertion Into Linear Hash Tables . . . . .	657
13.4.9	Exercises for Section 13.4 . . . . .	660
13.5	Summary of Chapter 13 . . . . .	662
13.6	References for Chapter 13 . . . . .	663
<b>14</b>	<b>Multidimensional and Bitmap Indexes</b>	<b>665</b>
14.1	Applications Needing Multiple Dimensions . . . . .	666
14.1.1	Geographic Information Systems . . . . .	666
14.1.2	Data Cubes . . . . .	668
14.1.3	Multidimensional Queries in SQL . . . . .	668
14.1.4	Executing Range Queries Using Conventional Indexes . . . . .	670
14.1.5	Executing Nearest-Neighbor Queries Using Conventional Indexes . . . . .	671
14.1.6	Other Limitations of Conventional Indexes . . . . .	673
14.1.7	Overview of Multidimensional Index Structures . . . . .	673
14.1.8	Exercises for Section 14.1 . . . . .	674
14.2	Hash-Like Structures for Multidimensional Data . . . . .	675
14.2.1	Grid Files . . . . .	676
14.2.2	Lookup in a Grid File . . . . .	676
14.2.3	Insertion Into Grid Files . . . . .	677
14.2.4	Performance of Grid Files . . . . .	679
14.2.5	Partitioned Hash Functions . . . . .	682
14.2.6	Comparison of Grid Files and Partitioned Hashing . . . . .	683
14.2.7	Exercises for Section 14.2 . . . . .	684
14.3	Tree-Like Structures for Multidimensional Data . . . . .	687
14.3.1	Multiple-Key Indexes . . . . .	687



14.3.2	Performance of Multiple-Key Indexes . . . . .	688
14.3.3	<i>kd</i> -Trees . . . . .	690
14.3.4	Operations on <i>kd</i> -Trees . . . . .	691
14.3.5	Adapting <i>kd</i> -Trees to Secondary Storage . . . . .	693
14.3.6	Quad Trees . . . . .	695
14.3.7	R-Trees . . . . .	696
14.3.8	Operations on R-trees . . . . .	697
14.3.9	Exercises for Section 14.3 . . . . .	699
14.4	Bitmap Indexes . . . . .	702
14.4.1	Motivation for Bitmap Indexes . . . . .	702
14.4.2	Compressed Bitmaps . . . . .	704
14.4.3	Operating on Run-Length-Encoded Bit-Vectors . . . . .	706
14.4.4	Managing Bitmap Indexes . . . . .	707
14.4.5	Exercises for Section 14.4 . . . . .	709
14.5	Summary of Chapter 14 . . . . .	710
14.6	References for Chapter 14 . . . . .	711
<b>15</b>	<b>Query Execution</b>	<b>713</b>
15.1	Introduction to Physical-Query-Plan Operators . . . . .	715
15.1.1	Scanning Tables . . . . .	716
15.1.2	Sorting While Scanning Tables . . . . .	716
15.1.3	The Model of Computation for Physical Operators . . . . .	717
15.1.4	Parameters for Measuring Costs . . . . .	717
15.1.5	I/O Cost for Scan Operators . . . . .	719
15.1.6	Iterators for Implementation of Physical Operators . . . . .	720
15.2	One-Pass Algorithms for Database Operations . . . . .	722
15.2.1	One-Pass Algorithms for Tuple-at-a-Time Operations . . . . .	724
15.2.2	One-Pass Algorithms for Unary, Full-Relation Operations . . . . .	725
15.2.3	One-Pass Algorithms for Binary Operations . . . . .	728
15.2.4	Exercises for Section 15.2 . . . . .	732
15.3	Nested-Loop Joins . . . . .	733
15.3.1	Tuple-Based Nested-Loop Join . . . . .	733
15.3.2	An Iterator for Tuple-Based Nested-Loop Join . . . . .	733
15.3.3	A Block-Based Nested-Loop Join Algorithm . . . . .	734
15.3.4	Analysis of Nested-Loop Join . . . . .	736
15.3.5	Summary of Algorithms so Far . . . . .	736
15.3.6	Exercises for Section 15.3 . . . . .	736
15.4	Two-Pass Algorithms Based on Sorting . . . . .	737
15.4.1	Duplicate Elimination Using Sorting . . . . .	738
15.4.2	Grouping and Aggregation Using Sorting . . . . .	740
15.4.3	A Sort-Based Union Algorithm . . . . .	741
15.4.4	Sort-Based Intersection and Difference . . . . .	742
15.4.5	A Simple Sort-Based Join Algorithm . . . . .	743
15.4.6	Analysis of Simple Sort-Join . . . . .	745
15.4.7	A More Efficient Sort-Based Join . . . . .	746



15.4.8	Summary of Sort-Based Algorithms . . . . .	747
15.4.9	Exercises for Section 15.4 . . . . .	748
15.5	Two-Pass Algorithms Based on Hashing . . . . .	749
15.5.1	Partitioning Relations by Hashing . . . . .	750
15.5.2	A Hash-Based Algorithm for Duplicate Elimination . . .	750
15.5.3	Hash-Based Grouping and Aggregation . . . . .	751
15.5.4	Hash-Based Union, Intersection, and Difference . . . . .	751
15.5.5	The Hash-Join Algorithm . . . . .	752
15.5.6	Saving Some Disk I/O's . . . . .	753
15.5.7	Summary of Hash-Based Algorithms . . . . .	755
15.5.8	Exercises for Section 15.5 . . . . .	756
15.6	Index-Based Algorithms . . . . .	757
15.6.1	Clustering and Nonclustering Indexes . . . . .	757
15.6.2	Index-Based Selection . . . . .	758
15.6.3	Joining by Using an Index . . . . .	760
15.6.4	Joins Using a Sorted Index . . . . .	761
15.6.5	Exercises for Section 15.6 . . . . .	763
15.7	Buffer Management . . . . .	765
15.7.1	Buffer Management Architecture . . . . .	765
15.7.2	Buffer Management Strategies . . . . .	766
15.7.3	The Relationship Between Physical Operator Selection and Buffer Management . . . . .	768
15.7.4	Exercises for Section 15.7 . . . . .	770
15.8	Algorithms Using More Than Two Passes . . . . .	771
15.8.1	Multipass Sort-Based Algorithms . . . . .	771
15.8.2	Performance of Multipass, Sort-Based Algorithms . . . .	772
15.8.3	Multipass Hash-Based Algorithms . . . . .	773
15.8.4	Performance of Multipass Hash-Based Algorithms . . . .	773
15.8.5	Exercises for Section 15.8 . . . . .	774
15.9	Parallel Algorithms for Relational Operations . . . . .	775
15.9.1	Models of Parallelism . . . . .	775
15.9.2	Tuple-at-a-Time Operations in Parallel . . . . .	777
15.9.3	Parallel Algorithms for Full-Relation Operations . . . . .	779
15.9.4	Performance of Parallel Algorithms . . . . .	780
15.9.5	Exercises for Section 15.9 . . . . .	782
15.10	Summary of Chapter 15 . . . . .	783
15.11	References for Chapter 15 . . . . .	784
<b>16</b>	<b>The Query Compiler</b> . . . . .	<b>787</b>
16.1	Parsing . . . . .	788
16.1.1	Syntax Analysis and Parse Trees . . . . .	788
16.1.2	A Grammar for a Simple Subset of SQL . . . . .	789
16.1.3	The Preprocessor . . . . .	793
16.1.4	Exercises for Section 16.1 . . . . .	794



16.2	Algebraic Laws for Improving Query Plans . . . . .	795
16.2.1	Commutative and Associative Laws . . . . .	795
16.2.2	Laws Involving Selection . . . . .	797
16.2.3	Pushing Selections . . . . .	800
16.2.4	Laws Involving Projection . . . . .	802
16.2.5	Laws About Joins and Products . . . . .	805
16.2.6	Laws Involving Duplicate Elimination . . . . .	805
16.2.7	Laws Involving Grouping and Aggregation . . . . .	806
16.2.8	Exercises for Section 16.2 . . . . .	809
16.3	From Parse Trees to Logical Query Plans . . . . .	810
16.3.1	Conversion to Relational Algebra . . . . .	811
16.3.2	Removing Subqueries From Conditions . . . . .	812
16.3.3	Improving the Logical Query Plan . . . . .	817
16.3.4	Grouping Associative/Commutative Operators . . . . .	819
16.3.5	Exercises for Section 16.3 . . . . .	820
16.4	Estimating the Cost of Operations . . . . .	821
16.4.1	Estimating Sizes of Intermediate Relations . . . . .	822
16.4.2	Estimating the Size of a Projection . . . . .	823
16.4.3	Estimating the Size of a Selection . . . . .	823
16.4.4	Estimating the Size of a Join . . . . .	826
16.4.5	Natural Joins With Multiple Join Attributes . . . . .	829
16.4.6	Joins of Many Relations . . . . .	830
16.4.7	Estimating Sizes for Other Operations . . . . .	832
16.4.8	Exercises for Section 16.4 . . . . .	834
16.5	Introduction to Cost-Based Plan Selection . . . . .	835
16.5.1	Obtaining Estimates for Size Parameters . . . . .	836
16.5.2	Computation of Statistics . . . . .	839
16.5.3	Heuristics for Reducing the Cost of Logical Query Plans . . . . .	840
16.5.4	Approaches to Enumerating Physical Plans . . . . .	842
16.5.5	Exercises for Section 16.5 . . . . .	845
16.6	Choosing an Order for Joins . . . . .	847
16.6.1	Significance of Left and Right Join Arguments . . . . .	847
16.6.2	Join Trees . . . . .	848
16.6.3	Left-Deep Join Trees . . . . .	848
16.6.4	Dynamic Programming to Select a Join Order and Grouping . . . . .	852
16.6.5	Dynamic Programming With More Detailed Cost Functions . . . . .	856
16.6.6	A Greedy Algorithm for Selecting a Join Order . . . . .	857
16.6.7	Exercises for Section 16.6 . . . . .	858
16.7	Completing the Physical-Query-Plan . . . . .	859
16.7.1	Choosing a Selection Method . . . . .	860
16.7.2	Choosing a Join Method . . . . .	862
16.7.3	Pipelining Versus Materialization . . . . .	863
16.7.4	Pipelining Unary Operations . . . . .	864
16.7.5	Pipelining Binary Operations . . . . .	864
16.7.6	Notation for Physical Query Plans . . . . .	867



16.7.7	Ordering of Physical Operations . . . . .	870
16.7.8	Exercises for Section 16.7 . . . . .	871
16.8	Summary of Chapter 16 . . . . .	872
16.9	References for Chapter 16 . . . . .	874
<b>17</b>	<b>Coping With System Failures</b>	<b>875</b>
17.1	Issues and Models for Resilient Operation . . . . .	875
17.1.1	Failure Modes . . . . .	876
17.1.2	More About Transactions . . . . .	877
17.1.3	Correct Execution of Transactions . . . . .	879
17.1.4	The Primitive Operations of Transactions . . . . .	880
17.1.5	Exercises for Section 17.1 . . . . .	883
17.2	Undo Logging . . . . .	884
17.2.1	Log Records . . . . .	884
17.2.2	The Undo-Logging Rules . . . . .	885
17.2.3	Recovery Using Undo Logging . . . . .	889
17.2.4	Checkpointing . . . . .	890
17.2.5	Nonquiescent Checkpointing . . . . .	892
17.2.6	Exercises for Section 17.2 . . . . .	895
17.3	Redo Logging . . . . .	897
17.3.1	The Redo-Logging Rule . . . . .	897
17.3.2	Recovery With Redo Logging . . . . .	898
17.3.3	Checkpointing a Redo Log . . . . .	900
17.3.4	Recovery With a Checkpointed Redo Log . . . . .	901
17.3.5	Exercises for Section 17.3 . . . . .	902
17.4	Undo/Redo Logging . . . . .	903
17.4.1	The Undo/Redo Rules . . . . .	903
17.4.2	Recovery With Undo/Redo Logging . . . . .	904
17.4.3	Checkpointing an Undo/Redo Log . . . . .	905
17.4.4	Exercises for Section 17.4 . . . . .	908
17.5	Protecting Against Media Failures . . . . .	909
17.5.1	The Archive . . . . .	909
17.5.2	Nonquiescent Archiving . . . . .	910
17.5.3	Recovery Using an Archive and Log . . . . .	913
17.5.4	Exercises for Section 17.5 . . . . .	914
17.6	Summary of Chapter 17 . . . . .	914
17.7	References for Chapter 17 . . . . .	915
<b>18</b>	<b>Concurrency Control</b>	<b>917</b>
18.1	Serial and Serializable Schedules . . . . .	918
18.1.1	Schedules . . . . .	918
18.1.2	Serial Schedules . . . . .	919
18.1.3	Serializable Schedules . . . . .	920



18.1.4	The Effect of Transaction Semantics . . . . .	921
18.1.5	A Notation for Transactions and Schedules . . . . .	923
18.1.6	Exercises for Section 18.1 . . . . .	924
18.2	Conflict-Serializability . . . . .	925
18.2.1	Conflicts . . . . .	925
18.2.2	Precedence Graphs and a Test for Conflict-Serializability . . . . .	926
18.2.3	Why the Precedence-Graph Test Works . . . . .	929
18.2.4	Exercises for Section 18.2 . . . . .	930
18.3	Enforcing Serializability by Locks . . . . .	932
18.3.1	Locks . . . . .	933
18.3.2	The Locking Scheduler . . . . .	934
18.3.3	Two-Phase Locking . . . . .	936
18.3.4	Why Two-Phase Locking Works . . . . .	937
18.3.5	Exercises for Section 18.3 . . . . .	938
18.4	Locking Systems With Several Lock Modes . . . . .	940
18.4.1	Shared and Exclusive Locks . . . . .	941
18.4.2	Compatibility Matrices . . . . .	943
18.4.3	Upgrading Locks . . . . .	943
18.4.4	Update Locks . . . . .	945
18.4.5	Increment Locks . . . . .	946
18.4.6	Exercises for Section 18.4 . . . . .	949
18.5	An Architecture for a Locking Scheduler . . . . .	951
18.5.1	A Scheduler That Inserts Lock Actions . . . . .	951
18.5.2	The Lock Table . . . . .	954
18.5.3	Exercises for Section 18.5 . . . . .	957
18.6	Managing Hierarchies of Database Elements . . . . .	957
18.6.1	Locks With Multiple Granularity . . . . .	957
18.6.2	Warning Locks . . . . .	958
18.6.3	Phantoms and Handling Insertions Correctly . . . . .	961
18.6.4	Exercises for Section 18.6 . . . . .	963
18.7	The Tree Protocol . . . . .	963
18.7.1	Motivation for Tree-Based Locking . . . . .	963
18.7.2	Rules for Access to Tree-Structured Data . . . . .	964
18.7.3	Why the Tree Protocol Works . . . . .	965
18.7.4	Exercises for Section 18.7 . . . . .	968
18.8	Concurrency Control by Timestamps . . . . .	969
18.8.1	Timestamps . . . . .	970
18.8.2	Physically Unrealizable Behaviors . . . . .	971
18.8.3	Problems With Dirty Data . . . . .	972
18.8.4	The Rules for Timestamp-Based Scheduling . . . . .	973
18.8.5	Multiversion Timestamps . . . . .	975
18.8.6	Timestamps and Locking . . . . .	978
18.8.7	Exercises for Section 18.8 . . . . .	978



18.9	Concurrency Control by Validation . . . . .	979
18.9.1	Architecture of a Validation-Based Scheduler . . . . .	979
18.9.2	The Validation Rules . . . . .	980
18.9.3	Comparison of Three Concurrency-Control Mechanisms . . . . .	983
18.9.4	Exercises for Section 18.9 . . . . .	984
18.10	Summary of Chapter 18 . . . . .	985
18.11	References for Chapter 18 . . . . .	987
<b>19</b>	<b>More About Transaction Management</b>	<b>989</b>
19.1	Serializability and Recoverability . . . . .	989
19.1.1	The Dirty-Data Problem . . . . .	990
19.1.2	Cascading Rollback . . . . .	992
19.1.3	Recoverable Schedules . . . . .	992
19.1.4	Schedules That Avoid Cascading Rollback . . . . .	993
19.1.5	Managing Rollbacks Using Locking . . . . .	994
19.1.6	Group Commit . . . . .	996
19.1.7	Logical Logging . . . . .	997
19.1.8	Recovery From Logical Logs . . . . .	1000
19.1.9	Exercises for Section 19.1 . . . . .	1001
19.2	View Serializability . . . . .	1003
19.2.1	View Equivalence . . . . .	1003
19.2.2	Polygraphs and the Test for View-Serializability . . . . .	1004
19.2.3	Testing for View-Serializability . . . . .	1007
19.2.4	Exercises for Section 19.2 . . . . .	1008
19.3	Resolving Deadlocks . . . . .	1009
19.3.1	Deadlock Detection by Timeout . . . . .	1009
19.3.2	The Waits-For Graph . . . . .	1010
19.3.3	Deadlock Prevention by Ordering Elements . . . . .	1012
19.3.4	Detecting Deadlocks by Timestamps . . . . .	1014
19.3.5	Comparison of Deadlock-Management Methods . . . . .	1016
19.3.6	Exercises for Section 19.3 . . . . .	1017
19.4	Distributed Databases . . . . .	1018
19.4.1	Distribution of Data . . . . .	1019
19.4.2	Distributed Transactions . . . . .	1020
19.4.3	Data Replication . . . . .	1021
19.4.4	Distributed Query Optimization . . . . .	1022
19.4.5	Exercises for Section 19.4 . . . . .	1022
19.5	Distributed Commit . . . . .	1023
19.5.1	Supporting Distributed Atomicity . . . . .	1023
19.5.2	Two-Phase Commit . . . . .	1024
19.5.3	Recovery of Distributed Transactions . . . . .	1026
19.5.4	Exercises for Section 19.5 . . . . .	1028



19.6	Distributed Locking . . . . .	1029
19.6.1	Centralized Lock Systems . . . . .	1030
19.6.2	A Cost Model for Distributed Locking Algorithms . . . . .	1030
19.6.3	Locking Replicated Elements . . . . .	1031
19.6.4	Primary-Copy Locking . . . . .	1032
19.6.5	Global Locks From Local Locks . . . . .	1033
19.6.6	Exercises for Section 19.6 . . . . .	1034
19.7	Long-Duration Transactions . . . . .	1035
19.7.1	Problems of Long Transactions . . . . .	1035
19.7.2	Sagas . . . . .	1037
19.7.3	Compensating Transactions . . . . .	1038
19.7.4	Why Compensating Transactions Work . . . . .	1040
19.7.5	Exercises for Section 19.7 . . . . .	1041
19.8	Summary of Chapter 19 . . . . .	1041
19.9	References for Chapter 19 . . . . .	1044
<b>20</b>	<b>Information Integration</b>	<b>1047</b>
20.1	Modes of Information Integration . . . . .	1047
20.1.1	Problems of Information Integration . . . . .	1048
20.1.2	Federated Database Systems . . . . .	1049
20.1.3	Data Warehouses . . . . .	1051
20.1.4	Mediators . . . . .	1053
20.1.5	Exercises for Section 20.1 . . . . .	1056
20.2	Wrappers in Mediator-Based Systems . . . . .	1057
20.2.1	Templates for Query Patterns . . . . .	1058
20.2.2	Wrapper Generators . . . . .	1059
20.2.3	Filters . . . . .	1060
20.2.4	Other Operations at the Wrapper . . . . .	1062
20.2.5	Exercises for Section 20.2 . . . . .	1063
20.3	Capability-Based Optimization in Mediators . . . . .	1064
20.3.1	The Problem of Limited Source Capabilities . . . . .	1065
20.3.2	A Notation for Describing Source Capabilities . . . . .	1066
20.3.3	Capability-Based Query-Plan Selection . . . . .	1067
20.3.4	Adding Cost-Based Optimization . . . . .	1069
20.3.5	Exercises for Section 20.3 . . . . .	1069
20.4	On-Line Analytic Processing . . . . .	1070
20.4.1	OLAP Applications . . . . .	1071
20.4.2	A Multidimensional View of OLAP Data . . . . .	1072
20.4.3	Star Schemas . . . . .	1073
20.4.4	Slicing and Dicing . . . . .	1076
20.4.5	Exercises for Section 20.4 . . . . .	1078
20.5	Data Cubes . . . . .	1079
20.5.1	The Cube Operator . . . . .	1079
20.5.2	Cube Implementation by Materialized Views . . . . .	1082
20.5.3	The Lattice of Views . . . . .	1085



20.5.4 Exercises for Section 20.5 . . . . .	1087
20.6 Data Mining . . . . .	1089
20.6.1 Data-Mining Applications . . . . .	1089
20.6.2 Finding Frequent Sets of Items . . . . .	1092
20.6.3 The A-Priori Algorithm . . . . .	1093
20.6.4 Exercises for Section 20.6 . . . . .	1096
20.7 Summary of Chapter 20 . . . . .	1097
20.8 References for Chapter 20 . . . . .	1098
<b>Index</b>	<b>1101</b>

# The Worlds of Database Systems

Databases today are essential to every business. They are used to maintain internal records, to present data to customers and clients on the World-Wide-Web, and to support many other commercial practices. Databases are likewise at the core of many scientific investigations. They represent the data gathered by astronomers, by investigators of the human genome, and by biochemists exploring the medicinal properties of proteins, along with many other scientists.

The power of databases comes from a body of knowledge and technology that has developed over several decades and is embodied in specialized software called a database management system, or DBMS, or more colloquially a "database system." A DBMS is a powerful tool for creating and managing large amounts of data efficiently and allowing it to persist over long periods of time safely. These systems are among the most complex types of software available. The capabilities that a DBMS provides the user are:

1. *Persistent storage.* Like a file system, a DBMS supports the storage of very large amounts of data that exist independently of any programs that are using the data. However, the DBMS goes far beyond the file system in providing flexibility, such as data structures that support efficient access to very large amounts of data.

2. *Programming interface.* A DBMS allows the user or an application program to access and modify data through a powerful query language. Again, the advantage of a DBMS over a file system is the flexibility to manipulate stored data in much more complex ways than the reading and writing of files.

3. *Transaction management.* A DBMS supports concurrent access to data by many simultaneous users by means of special procedures called "transac-